

Audit Assurance Opinions: 2020/21

AUDIT TITLE		Department	FINAL DATE	ASSURANCE LEVEL				RECOMMENDATIONS				
				FULL	SUBSTANTIAL	LIMITED	NO	Priority 1	Priority 2	Priority 3		
1	Local Land charge income review	CS	9/4/20		Y				6			
2	MSJCB	CS	5/6/20	Accounts								
3	Building Control	E&R	4/8/20	-	-	Y	-	3	14	-		
4	Troubled families grant-quarter 1	CSF	10/5/20	Y								
5	Building Control accounting concern	CS	15/5/20	Advisory								
6	Penalty Charge Notices	E&R	20/8/20	-	Y	-	-	-	2	-		
7	Blue Badge grant return	CS	24/8/20	Y								
8	Duplicate payment checks	CS	20/5/20	Advisory								
9	Pensions Shared Service	CS	8/9/20	Y	-	-	-	-	-	-		
10	Risk Management	CS	9/9/20	-	Y		-	-	2	-		
11	No Recourse to Public Funds (CSF)	CSF	18/9/20	-	Y	-	-	-	2	-		
12	Troubled families grant-quarter 2	CSF	18/9/20	Y								
13	Planning applications	ER	22/12/20		Y	-		-	8	-		

14	Direct Payments	CH	23/10/20	-	-	Y	-	4	6	-
15	No Recourse to Public funds (CH)	CH	23/10/20	-	-	Y	-	2	2	-
16	Contract compliance	CS	10/12/20	-	Y		-	1	7	1
17	Covid 19 Procurement	All	12/10/20	-	-	Y	-	3	9	-
18	Covid 19 response	All	16/10/20	-	Y	-	-	-	13	-
19	Recruitment	CS	1/12/20	-	Y	-	-	1	9	-
20	Mayors accounts	CS	12/11/20	Accounts sign off						
21	Troubled families grant-quarter 3	CSF	1/12/20		Y			-	13	-
22	IT needs Assessment	CS	1/12/20	Advisory						
23	Prepaid cards	CSF	9/2/21			Y		5	6	
24	Community Testing grant	E&R	9/3/21	Y						
25	Troubled families grant-quarter 4	CSF	31/3/21	Y						
26	Whistleblowing Process Review	All	9/3/21		Y				1	
27	Procurement Cards	CS	25/3/21		Y				9	
28	Compliance and enforcement grant	E&R	7/5/21	Y						
29	Role of the Operational Procurement Groups	All	13/5/21	-	Y	-	-	-	8	-
30	Corporate Procurement follow up	All	17/6/21	-	Y	-	-	-	3	3
31	Payroll	CS	28/5/21		Y	-	-	-	4	-
32	Disclosure Barring Service	CS	27/5/21			Y		2	3	2
33	CM2000 Home care monitoring	CH	17/6/21			Y		4	13	

34	Cyber security	CS	24/6/21			Y		-	8	8
35	Mascot centre	All	19/5/21		Y				12	1
36	Cash and Bank	CS	19/5/21		Y				5	1
37	Accounts Payable	CS	Draft?		Y					
38	Declaration of Interests-Officers	CS	Draft		Y				7	

	TOTALS			7 (21%)	18 (55%)	8 (24%)	0 (0%)	25 (12%)	169 (80%)	16 (8%)
--	---------------	--	--	--------------------------	---------------------------	--------------------------	-------------------------	---------------------------	----------------------------	--------------------------

This page is intentionally left blank