

Audit Assurance Opinions: 2020/21

AUDIT TITLE		Department	FINAL DATE	ASSURANCE LEVEL				RECOMMENDATIONS		
				FULL	SUBSTANTIAL	LIMITED	NO	Priority 1	Priority 2	Priority 3
1	Local Land charge income review	CS	9/4/20		Y				6	
2	MSJCB	CS	5/6/20	Accounts						
3	Building Control	E&R	4/8/20	-	-	Y	-	3	14	-
4	Troubled families grant-quarter 1	CSF	10/5/20	Sample check on grant return						
5	Building Control accounting concern	CS	15/5/20	Advisory						
6	Penalty Charge Notices	E&R	20/8/20	-	Y	-	-	-	2	-
7	Blue Badge grant return	CS	24/8/20	Grant check and sign off						
8	Duplicate payment checks	CS	20/5/20	Advisory						
9	Pensions Shared Service	CS	8/9/20	Y	-	-	-	-	-	-
10	Risk Management	CS	9/9/20	-	Y		-	-	2	-
11	No Recourse to Public Funds (CSF)	CSF	18/9/20	-	Y	-	-	-	2	-
12	Troubled families grant-quarter 2	CSF	18/9/20	Sample check on grant return						
13	Planning applications	ER	22/12/20		Y	-		-	8	-

14	Direct Payments	CH	23/10/20	-	-	Y	-	4	6	-		
15	No Recourse to Public funds (CH)	CH	23/10/20	-	-	Y	-	2	2	-		
16	Contract compliance	CS	10/12/20	-	Y		-	1	7	1		
17	Covid 19 Procurement	All	12/10/20	-	-	Y	-	3	9	-		
18	Covid 19 response	All	16/10/20	-	Y	-	-	-	13	-		
19	Recruitment	CS	1/12/20	-	Y	-	-	1	9	-		
20	Mayors accounts	CS	12/11/20	Accounts sign off								
21	Troubled families grant-quarter 3	CSF	1/12/20		Y			-	13	-		
22	IT needs Assessment	CS	1/12/20	Advisory								
23	Prepaid cards	CSF	9/2/21			Y		5	6			
24	Role of the Operational Procurement Groups	All	Draft-12/2/21	-	Y	-	-	-	8	-		
25	Whistleblowing Process Review	All	Draft	-	Y	-	-	-	1	-		
26	Corporate Procurement follow up	All	Draft-9/2/21	-	Y	-	-	-	3	3		
27	Procurement Cards	CS	Draft 15/2/21	-	Y	-	-	-	9	-		
28	Payroll- Shared service review	CS	Draft		Y	-	-	-	4	-		
29	CM2000 Home care monitoring	CH	In progress									
30	Cyber security	CS	In progress									
31	Mascot centre	All	In progress									
32	Community Testing grant	E&R	In progress									
33	Accounts Payable	CS	In progress									
34	Cash and Bank	CS	In progress									

35	Capital Programme	CS	In progress							
36	Pension arrangement	CS	In progress							
37	DBS	CS	In progress							
38	Rough Sleepers	CH	In progress							
39	Infection Control	CH	In progress							
40	Troubled families grant-quarter 4	CSF	booked							
41	Declaration of Interests-Officers	All	In progress							

	TOTALS			1 (5%)	14 (70%)	5 (25%)	0 (0%)	19 (13%)	124 (85%)	4 (2%)
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