

Audits since April 2019

Appendix A

Audit Title	type of audit	Dept	Final Report Date	Assurance
Pensions Administration Shared services	Financial	CS	18/04/19	Substantial
Reviews of Care Plans	Service specific	CH	24/04/19	Substantial
Contract Monitoring of Commissioned Services	Contract	CH	29/04/19	Limited
Monitoring of School Returns	Service specific	CS	09/07/19	Substantial
Treasury Management	Financial	CS	06/06/19	Substantial
Petty Cash Imprests	Financial	CS	25/04/19	Substantial
GDPR	Governance	CS	22/08/19	Substantial
Interim staff	Service specific	CS	11/06/19	Substantial
Abbotsbury Primary School	School	CSF	13/05/19	Substantial
Voluntary organisation review	Service specific	CH	23/04/19	advisory
Singlegate Primary School	School	CSF	11/04/19	Substantial
Garfield Primary School	School	CSF	04/04/19	Substantial
Unaccompanied Asylum Seeking Children	Service specific	CSF	03/10/19	Substantial
Merton & Sutton Joint Cemetery Board 2019-20	Accounts	CS	11/06/19	n/a
Mayors Office (Charitable Trust) 2019-20	Accounts	CS	09/10/19	n/a
Perseid School	School	CSF	29/01/20	Limited
Deprivation of liberty safeguards assessments	Service specific	CH	31/10/19	substantial
Transparency agenda	Governance	All	25/11/19	advisory
Bond Primary School	School	CSF	06/11/19	Substantial
Malmesbury Primary School	School	CSF	19/12/19	Substantial
Payroll	Financial	CS	06/11/19	Substantial

iTrent System Review	IT	CS	28/10/19	Substantial
BACS-CHAPS	Financial	CS	12/12/19	Limited
Budget setting and monitoring	Financial	CS	29/04/19	Substantial
Foi's and Subject Access Requests	Governance	CS	20/12/19	Substantial
Payment Card Industry Data Security Standard compliance	IT	CS	20/12/19	Limited
Risk Management 2019-20	Governance	CS	final	Substantial
Valuations	Service specific	ER	09/01/20	Substantial
Council Tax	Financial	CS	05/12/19	Substantial
Adult Learning Contract	Contract	CH	22/01/20	Substantial
Procurement Card - Transfer System (Pay Pal)	Financial	CS	13/12/19	Limited
Tree Maintenance	Service specific	ER	14/02/20	Limited
Accounts Receivable	Financial	CS	Draft	Substantial
Direct Payments	Service specific	CH	Draft	Limited
Local Land Charges Income review	Service specific	CS	Draft	Limited
Recruitment - Employees 2019-20	Service specific	CS		review
Pre Paid Cards	Financial	CSF		review
CM2000 Home Care Monitoring - Follow Up 2019-20	Contract	CH		review
Troubled Families Grant	grant	CSF		in progress
Duplicate Payment check	Financial	CS		in progress
Planning Application	Service specific	ER		in progress
Penalty Charge Notices (PNC)	Service specific	ER		in progress
Corelogic Mosaic	Financial	CH/CSF		in progress

SLWP - refuse contract	Contract	ER		in progress
SLWP - parks contract	Contract	ER		in progress
Block and Extra care Contracts	Contract	CH		in progress
No Recourse to Public Funds 2019-20	Service specific	CH		in progress
LBM Safeguarding Adults 2019- 20	Service specific	CH		in progress

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