SUMMARY OF EQUALITIES ASSESSMENTS

SAVINGS REFERENCE	CABINET	DEPARTMENT	SAVING	OUTCOME
2019-20 CS01	15 October 2018	Corporate Services	Amend Discretionary Rate Relief Policy	2
2019-20 CS02	15 October 2018	Corporate Services	Concessionary Travel	2
CSF2018-02	15 October 2018	Children, Schools and Families	NB: Replaced by CSF2018 (2) and (12) in December savings	See Dec. (2) & (12)
CSF2018-03	15 October 2018	Children, Schools and Families	Review Early Years Service	2
CSF2018-04	15 October 2018	Children, Schools and Families	Review school trades offer	2
CSF2018-05	15 October 2018	Children, Schools and Families	Children Social Care - delivery of preventative services through the Social Impact Bond	1
CSF2018-06	15 October 2018	Children, Schools and Families	Children Social Care - South London Family Drug and alcohol court commissioning	1
CSF2018-08	15 October 2018	Children, Schools and Families	Review Early Years Service	2
CSF2018-09	15 October 2018	Children, Schools and Families	Reduce some statutory education functions	2
CSF2018-10	15 October 2018	Children, Schools and Families	Reduce support for LAC/CSE/respite	2
CH87	15 October 2018	Community and Housing	Mascot Service	1
2019-20 CS03	10 December 2018	Corporate Services	Policy, Strategy and Partnerships - remove contribution	3
2019-20 CS04	10 December 2018	Corporate Services	Policy, Strategy and Partnerships - reduce strategic partner grant	3
2019-20 CS05	10 December 2018	Corporate Services	Registrars - reduce staffing	3
2019-20 CS06	10 December 2018	Corporate Services	Revenues and benefits - reduce staffing	1
2019-20 CS08	10 December 2018	Corporate Services	Insurance - reduce staffing	1
2019-20 CS11	10 December 2018	Corporate Services	Amend Discretionary Rate Relief Policy	2
2019-20 CS12	10 December 2018	Corporate Services	Increase in Empty Homes Premium for long term empty properties	1
2019-20 CS13	10 December 2018	Corporate Services	Improved collection of Housing Benefit payments and reduce bad debt provision	1
2019-20 CS14	10 December 2018	Corporate Services	Legal services - impose criminal litigation gap at 20k	1
2019-20 CS15	10 December 2018	Corporate Services	Legal services - reduce civil litigation legal support by 50%	1
2019-20 CS23	10 December 2018	Corporate Services	Client Financial Affairs - implement means assessed charging	2
2019-20 CS24	10 December 2018	Corporate Services	HR - realign/redesign HR services	1
2019-20 CS25	10 December 2018	Corporate Services	HR Support - charge for voluntary sector payroll	2
2019-20 CS26	10 December 2018	Corporate Services	Procurement savings	1
2019-20 CS27	10 December 2018	Corporate Services	Democratic services/Electoral Services	3
CSREP 2019-20 (3)	10 December 2018	Corporate Services	Revenues and Benefits - Increase in income from Enforcement Services	1
CSREP 2019-20 (6)	10 December 2018	Corporate Services	Legal Services - Reduce budget allocated to employment advice and support	2

SUMMARY OF EQUALITIES ASSESSMENTS

SAVINGS REFERENCE	CABINET	DEPARTMENT	SAVING	OUTCOME
CSF2018-07	10 December 2018	Children, Schools and Families	Reorganisation of School Admissions, School Improvement and the My Futures teams	3
CSF2018-11	10 December 2018	Children, Schools and Families	Reduction of SENDIS early intervention service	3
CSF2018-(2) & (12)	10 December 2018	Children, Schools and Families	Children's Social Care - reduction in staffing at Bond Road	3
CSF2018-(13)	10 December 2018	Children, Schools and Families	Review of CSF admin. Structure	1
ENV1819 - 01	10 December 2018	Environment and Regeneration	Leisure and Culture - Five year extension of GLL contract	1
ENV1819 - 02	10 December 2018	Environment and Regeneration	Parking Services - Operational efficiencies	1
ENV1819 - 03	10 December 2018	Environment and Regeneration	Parking Services - support the delivery of key strategic council priorities	2
ENV1819 - 04	10 December 2018	Environment and Regeneration	Parking Services - reduction in number of pay and display machines needed	2
ENV1819 - 05	10 December 2018	Environment and Regeneration	Future Merton	1
CH35 & 36	10 December 2018	Community and Housing	Housing related Support Services Review and Procurement	2
CH39	10 December 2018	Community and Housing	Extra Care Housing contracts	2
CH55	10 December 2018	Community and Housing	Promoting independence	3
CH72	10 December 2018	Community and Housing	Community Transport	2
CH88	10 December 2018	Community and Housing	Homecare monitoring system	1
CH89	10 December 2018	Community and Housing	Older People Day Activities	2
CH90	10 December 2018	Community and Housing	Out of Area Placements	2
CH91	10 December 2018	Community and Housing	Supported Living/Residential Review	2
CH92	10 December 2018	Community and Housing	Mobile working	2
CH93	10 December 2018	Community and Housing	Learning Disabilities Offer	2
CH94	10 December 2018	Community and Housing	MHCT Integration - pooled funding resourcing	2

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Amend discretionary rate relief policy (Reference 2019-20 CS01)
Which Department/ Division has the responsibility for this?	Corporate Services/Customer Services

Stage 1: Overview	
Name and job title of lead officer	David Keppler, Head of Revenues & Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Amend the discretionary rate relief policy for qualifying businesses/charities to reduce the overall amount contributed by Merton taxpayers by £75,000 per year.
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Some charities, sports clubs, education establishments and non profit making organisations will have a reduction in or will lose all rate relief
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- It is for the Council to determine the qualifying criteria and at what level it wishes to set for the discretionary rate relief for qualifying organisations. The existing scheme is already known to be more generous than neighbouring LA's and the proposal will bring us closer in synch with them.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		No	Yes		The policy is discretionary and will be publicised in advance allowing organisations the opportunity to balance their own budgets accordingly. Relief can change annually, dependent on circumstances, and no amount is guaranteed. All organisations are advised that relief is only awarded for a year and a review will be undertaken before the next award period.
Disability		No	Yes		
Gender Reassignment		No		No	
Marriage and Civil Partnership		No		No	
Pregnancy and Maternity		No	Yes		
Race		No		No	
Religion/ belief		No		No	
Sex (Gender)		No		No	
Sexual orientation		No		No	
Socio-economic status		No	Yes		

APPENDIX 5

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Some organisations will absorb the change, for example, private sports clubs, education establishments, charity shops, however it cannot be identified which organisations may reduce or stop services to residents.	Invite and consider "hardship" relief applications from organisations affected	Monitor number of hardship applications and number of claims awarded	April 19 to March 20	Existing	David Keppler	Business Rates section work plan

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
	X		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date: 27.9.18**

Stage 5: Sign off by Director/ Head of Service			
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings for 2019 – 2022. The introduction of a flat charge of £10.00 for the issuing of Blue Badges to residents. (Reference 2019-20 CS02)
Which Department/ Division has the responsibility for this?	Corporate Services/Customer, Performance and Improvement

Stage 1: Overview	
Name and job title of lead officer	Sean Cunniffe, Head of Customer Contact
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The proposal is to introduce a charge of £10.00 to be paid by any resident who successfully applies for, and is issued by the Council with, a Blue Badge under the Concessionary Travel scheme. This is a change from the current arrangement, whereby no fee is levied for any applicants for the scheme. The Council receives approximately 2000 applications per year, and issues approximately 1700 Blue Badges per year. Each Blue Badge entitles the holder to free parking in designated areas for a period of 5 years. The aims of introducing the charge are to recover some of the costs of administering the Blue Badge Concessionary Travel scheme and to discourage applications (and driving) by those who do not need the service. The Blue Badge Scheme Local Authority Guidance issued by the Department for Transport in 2014 states the regulations give local authorities the discretion to charge a fee on the issue of a badge. The fee cannot exceed £10.00. During an internal audit review in 2017 they stated - Local authorities are permitted to charge up to £10 for the processing of a blue badge. Merton's six immediate neighbouring boroughs, all currently charge a fee of £10 for every blue badge issued. Merton is the only local borough not charging a fee. They then made the following recommendation - "Approval must be sought to enable the application of the maximum fees allowable when issuing a Blue Badge. The fee must be set at the current recommended level as set by the Departments of Transport. The fee must be reviewed annually to ensure that it does not exceed the maximum set by the Department of Transport." The online tool, operated and administered by the Department for Transport already alerts applicants that

	there may be a charge in the event of a successful application. The criteria for the Scheme will not alter.
2. How does this contribute to the council's corporate priorities?	Recovering the administrative costs of issuing Blue Badges will support the Council to continue to deliver services within its financial envelope.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Successful applicants to the scheme will be affected. Therefore residents of Merton who are impacted by these conditions and deemed eligible for a Blue Badge will be affected financially, in that they will need to pay a £10.00 charge in order to receive a Blue Badge once their application has been processed. The proposals will allow the Council to recover some of the administrative cost associated with the provision of this service. The reductions in funding facing the Council over the coming months and years would otherwise divert unnecessary costs to administer the scheme from other more vulnerable services.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None – the Council has responsibility for delivering this service.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Concessionary Travel – audit recommendation following review of Blue Badge process and benchmark with neighboring Boroughs. Guidelines state a charge can be levied, up to a maximum of £10.00, for each successful application. Already stated on-line but charge not enforced. Limited impact as charge is minimal and covers each Blue Badge with a lifespan of 5 years. No impact on protected characteristics.

The policies and charges applied by neighbouring London boroughs have been reviewed and taken into account.

Guidance from Department for Transport on the appropriateness of levying fees for the issuing of Blue Badges has been reviewed and taken into account.

In 2017 a review of the service was undertaken by Internal Audit which resulted in a series of recommendations.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					None
Disability			Yes		A small charge may dissuade disabled people from applying for a Blue Badge
Gender Reassignment					None
Marriage and Civil Partnership					None
Pregnancy and Maternity					None
Race					BAME residents tend to be on lower incomes or have higher unemployment rates and may be adversely affected by the introduction of a charge
Religion/ belief					None
Sex (Gender)					None

Sexual orientation					None
Socio-economic status					Residents on low incomes or who are unemployed may be adversely affected by the introduction of a charge. This may disproportionately affect residents in the East of the borough

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Disabled people may be dissuaded from applying for a Blue Badge following introduction of a charge	The charge will only be applied when a Blue Badge has been successfully awarded. Clearer guidance and criteria will be provided to residents to increase confidence in making applications.	Monitor number of completed applications received.	2020	Existing	Sean Cunniffe	Yes
Disabled residents tend to be on lower incomes and may be adversely affected by the introduction of a charge.	Support disabled residents to get Welfare Benefit advice to ensure that they are claiming their full benefit entitlement.	Monitor number of completed applications received. and benefit take up rates by disability				
BAME residents tend to be on lower incomes or have higher unemployment rates and may be adversely affected by the introduction of a charge.	Support residents to get Welfare Benefit advice to ensure that they are claiming their full benefit entitlement.	Monitor number of completed applications received. and benefit take up rates by BAME residents				
Residents on low incomes or who are unemployed may be adversely affected by the introduction of a charge. This may disproportionately affect residents in the East of the borough	Support residents on low incomes to get Welfare Benefit advice to ensure that they are claiming their full benefit entitlement.	Monitor number of completed applications received.				

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
	X		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Sean Cunniffe	**Signature:** Sean Cunniffe	**Date:** 28.09.2018
Improvement action plan signed off by Director/ Head of Service	Sophie Ellis	**Signature:**	**Date:**

APPENDIX 5

Equality Analysis

merton

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF 2018-02
Which Department/ Division has the responsibility for this?	CSF/Children's Social Care

Stage 1: Overview	
Name and job title of lead officer	Paul Angeli (AD Children's Social Care/Youth Inclusion)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	CSF2018-02. The LA will delete a management post in the Children with Disabilities Team with effect from 2019/20. This deletion will require a small restructuring of the team and lead to an increased responsibility for the team manager in this team. This will allow us to secure £50,000 savings. With effect from 2019/20, there will be a reduction of Family Support workers at Bond Road Family Centre. This will allow us to secure £55,000 savings. This will require deletion of posts. The reduction will in effect lead to a reduction of provision to vulnerable children between the ages of 5 and 11. The service works with children in need and subject to child protection plans. The proposals reduce the capacity of the service to work with about 40 families. As part of our Early Help offer we administer and provide support to schools and other agencies who complete early help assessments. With effect from 2019/20 there will be re grading and reduction in support for early intervention assessments. There will be a re grading of the current post to a social work grade and the administrative staff member will be changed to be part time. This will secure savings of £25,000.
2. How does this contribute to the council's corporate priorities?	This proposal contributes to the corporate priority of setting a balanced budget, while prioritising services to children and families in line with our Wellbeing Model.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Proposals to reduce management oversight of cases in Children With Disabilities: will have an impact on the staff in the disabilities service as they will have less access to managerial support. Proposal to reduce Bond Road capacity by reducing Family Support Workers will impact on users of the centre. These are children in need and subject to child protection plans between the ages of 5 and 11. This reduction will lead to an overall drop in service provision to approximately 40 families or about 60-80 children in the year. It constitutes an overall drop in service of about 10%. As this is a service targeted to some of the more vulnerable families in the borough, the reduction in capacity will necessarily impact on

	some of these. The service will continue to try to identify and prioritise access to services for those families that are most vulnerable. The reduction in resources for early assessment may potentially result in an impact on partners. The role has a promotional role in driving early assessment and early help and the change in the posts' capacity may lead to less use of CASA and a reduction in access to early help over time.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The CWD works closely with colleagues from SENDIS, Health and Social Care. Delivery of services for children with disabilities is a shared council function and is part of SENDIS. Overall responsibility for delivery remains with the department. Bond Road: These services are not shared with any other departments. Colleagues in Health, Education and Social Care will be impacted through the reduction in capacity to provide a service to families they are working with. Health and Education services refer in to Bond Road. Responsibility sits with Children's Social Care. The CASA post is based in Children's Social Care and works extensively with partners working with children. These include schools, children's centres, early years settings, health and the voluntary sector.

Stage 2: Collecting evidence/ data

4. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have information about caseloads and the ratios of staff to managers in the service. Children with Disabilities are known evidentially to be some of the most vulnerable children in our system.

We have data available from our review of Bond Road. This has provided an insight into the impact of the reduction in posts.

We have data about CASA use from 2017-18 and we are able to understand a potential impact on use.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age			*		Vulnerable children and families in need of services will be impacted by these changes as there will be fewer resources for this group of children and their parents. In the case of Bond Road this will impact specifically on the age group 5-11 years.
Disability			*		The proposals relate to children with disabilities. We anticipate a small impact as the reduction in capacity is managerial.
Gender Reassignment		*			
Marriage and Civil Partnership		*			
Pregnancy and Maternity		*			
Race		*			
Religion/ belief		*			
Sex (Gender)			*		Women who are the main users of Bond Road and CSC services generally will be impacted.
Sexual orientation		*			
Socio-economic status			*		This work tends to be with the most vulnerable socio-economic groups and therefore the reduction in services will be felt disproportionately by them.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
There will be some impact on the oversight of children with disabilities	**Y**	**On going performance management and auditing of the service will be required.**	**On Going**	**Existing resources**	**Gordon Murray**	**Y**
There will be a negative impact on provision for young children and their families subject to a child protection plan	**Y**	**Review of Bond Road underway to review service configuration and eligibility criteria**	**Oct 2018**	**Existing resources**	**Gordon Murray**	**Y**
As above	**Y**	**Early Help review to identify how children in need and subject to a Child Protection Plan can access children's centres provision.**	**Nov 2018**	**Existing resources**	**Gordon Murray**	**Y**
There will be a gap in the support available for CASA delivery.	**Y**	**Work to take place with partners and MSCB so CASA is promoted as part of early help and safeguarding of children.**	**Jan 2019**	**Existing Resources**	**Paul Angeli**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		*	

Stage 5: Sign off by Director/ Head of Service	
Assessment completed by	Paul Angeli AD CSC &YI
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell DCS CSF

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-03
Which Department/ Division has the responsibility for this?	CSF/Education Division

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Raise income or cease some services in preparation for 2020 where we would consider withdrawing from direct provision of a childcare offer: The proposal is to raise income, by raising fees, to achieve the proposed saving in 2019/20 of 49K. The objective is to raise fees for a relatively small cohort of families who access the Lavender Nursery at a universal level of need, whilst protecting targeted services for more vulnerable families. The desired outcome is to introduce a new fee structure which protects services for vulnerable families and raises income for fee paying customers to deliver the 2019- 2020 savings The impact of this proposal will mean increased fees for the 71* fee paying customers using the Lavender Nursery. Whilst the support to vulnerable children and their families will remain, the increase in charges will impact on families where household income is lower. However, the monthly charges for childcare will continue to be broadly in line with the average hourly rate for childcare in Merton. Since September 2017 children aged 3 and 4 are entitled to 30 hours of free childcare, which will contribute to mitigating the impact of these fee increases for this cohort of families. There is a wide choice of childcare services available in the borough, and families are

	able to source alternative provision if required. The majority of families using the paid for services at Lavender Nursery are not considered to be vulnerable/in need. The **60 places for children aged 2 who meet eligibility for 2 year funded places (low household income, LAC and children claiming DLA) will not be affected (*To note the number of 71 is not fixed and can move up or down depending on patterns of take up. **To note the number of 60 is not fixed and can move up or down depending on patterns of take up.)
2. How does this contribute to the council's corporate priorities?	This is contributing to the councils' corporate priorities in ensuring we manage our resources to provide value for money, high standards of governance, financial and budget management. The Early Years' Service contributes significantly to giving our children and young people the best start in life and is a key component of our Child, YP and Family Well Being Model. It contributes significantly to our MSCB priorities of Early Help; Think Family and the cross cutting theme of neglect. By raising income through an increase in fees for working households, the remaining targeted services are protected in this financial year and support for families eligible for 2 year funded places continues and remains a priority
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Families affected by this proposal will be the *71 fee paying families that currently use the Lavender Nursery, and new customers taking up places once existing service users leave. (*To note the number of 71 is not fixed and can move up or down depending on patterns of take up)
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	No

Stage 2: Collecting evidence/ data

5. **What evidence have you considered as part of this assessment?**
   Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets and information to identify need, vulnerability and risk. We are aware of the overall impact of this proposal.

Stage 3: Assessing impact and analysis

6. **From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?**

Protected characteristic (equality group)	Tick which applies		Tick which applies		Reason Briefly explain what positive or negative impact has been identified
	Positive impact		Potential negative impact		
	Yes	No	Yes	No	
Age				*	Whilst it is families with young children who use the services, the negative impact is minimal as there are sufficient alternative services available locally which families could access, if they so choose to do so. For children who are eligible or 2 year funded places there is no impact in terms of this proposal
Disability				*	Existing levels of support will continue for children where there may be disabilities, therefore there is minimal negative impact For parents where there is a disability there may be a negative impact in terms of the increase in fees and accessibility to alternative provision may be more challenging. However, overall the potential impact is generally minimal but this proposal may impact more so on some individual families depending on their particular circumstances.

Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	The nursery provides childcare for children aged 2 and above and therefore will not directly impact on pregnancy and maternity. However, families with more than one child and with a baby, will see their overall childcare costs increase. Costs for childcare tend to be highest for children between the ages 0 – 2. Overall the potential impact is generally minimal but this proposal may impact more so on some individual families depending on their particular circumstances.
Race				*	
Religion/ belief				*	
Sex (Gender)				*	
Sexual orientation				*	
Socio-economic status			*		For families with lower household income, a raise in childcare fees will have an impact on their disposable income, possibly causing stress and debt for some families. Families are supported to take up the free childcare entitlement and maximise tax credits and tax free childcare

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
This level of saving will impact on the fee paying customers using Lavender Nursery.	**Provide sufficient notice to families so they can plan for the increase and maximise use of free entitlements, tax free childcare and credits** **Provide information about alternative providers and their costs so parents/families can make informed decisions**	**Fee structure introduced and take up in the nursery by fee paying customers is stable**	**March 2020**	**existing**	**Allison Jones**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
☐	yes	☐	☐

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Allison Jones Head of Early Years	**Signature:**	**Date: 30/10/18**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell, Director CSF	**Signature:**	**Date: 30/10/2018**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget saving CSF2018-04
Which Department/ Division has the responsibility for this?	CSF/Education Division

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Review of school traded services offer and raise charges or consider ceasing certain services. This will entail a review of all current SLA's as well as de-delegated services with schools to ensure i) full cost recovery ii) that LBM charges are aligned with other providers. We will also explore future opportunities to trade with schools. If schools are unwilling or unable to pay for core and enhanced services, this will result in approximately 1 post being deleted in the relevant education service area. This will deliver a saving of £30k in 2019/20. It will also potentially impact negatively on service volumes and children's outcomes as there may be an increase in escalations of referrals to child protection services from schools.
2. How does this contribute to the council's corporate priorities?	If additional funding is secured from schools, then this proposal would enable work to continue under the 'Opportunity Merton' theme: our programme to improve education from nursery level to adult provision. CSF services support our most vulnerable children and young people getting the best start in life. We are particularly focused on those facing obstacles and challenges and how we 'bridge the gap' in outcomes between some children and their more advantaged peers. However, if no additional funding is secured, this would lead to a reduction in our offer (outlined above) and the contribution to the corporate priorities would be the delivery of the £30k saving.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Partners and (dependant on outcome) staff will be affected by this proposal. If schools agree to the raising of our charges and continue to buy back these services, they will incur additional costs. However our children and young people will continue to benefit from the current offer. If the offer is reduced the proposal may potentially impact negatively on service volumes and children's outcomes as there may be an increase in escalations of referrals to child protection services from schools.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall	We require agreement from schools to deliver this saving (in the case of increases in charging). If this is not agreed the decision to cease certain services will require stakeholder engagement in agreeing priorities for delivery.

responsibility?	

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have comprehensive data and information in relation to costing models to review our current SLA's. We have comprehensive data in relations to schools and where need is more prevalent.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age			*		The proposal could impact in terms of schools not agreeing to increase in charging and therefore, certain current services to children would cease. Stakeholder engagement will be undertaken to prioritise these services.
Disability			*		The proposal could impact in terms of schools not agreeing to increase in charging and therefore, certain current services to children with disabilities may cease. Stakeholder engagement will be undertaken to identify which services to prioritise.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race				*	
Religion/ belief				*	
Sex (Gender)				*	
Sexual orientation				*	
Socio-economic status			*		The proposal could impact in terms of schools not agreeing to increase in charging and therefore, certain current services to children would cease. This may disproportionately affect children with lower socio-economic

					status as being the children most likely to be in receipt of additional services. Stakeholder engagement will be undertaken to prioritise these services.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Further work and stakeholder engagement is needed to identify the most high risk impacts and to mitigate them, if schools' funding is not secured and services have to reduce. Steps will be taken to avoid or mitigate the highest risk impacts.	Stakeholder engagement will be undertaken to identify and mitigate highest risks.	**Evidence that services, even where reduced, continue to be provided to those in highest need.**	**March 2020**			

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1 **OUTCOME 2** **OUTCOME 3** **OUTCOME 4**

	*		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Carol Camiss	**Signature:**	**Date: 01/12/17 (original) Updated 31/10/2018**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell	**Signature:**	**Date: 1/11/2018**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF 2018-05 and CSF 2018-06
Which Department/ Division has the responsibility for this?	CSF/Children's Social Care

Stage 1: Overview	
Name and job title of lead officer	Paul Angeli (AD Children's Social Care/Youth Inclusion)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	CSF2018-05. The LA will buy into a Social Impact Bond (SIB) with 5 other boroughs. The SIB is designed to support delivery of service to keep children and young people out of the care system. This is in line with our TOM and our Children & Young People Well-Being Model. This will deliver 45K savings in 2019/20 & 45K savings in 2020/21.This work, however, takes place within a rising population demographic and increased complex needs. CSF2018-06. The South London Family Drug and Alcohol Court commissioning programme will enable more children to return home safely, thereby, reducing the costs of care placements. This is in line with our TOM and will deliver 45K savings in 2019/20 and 45K savings in 2020/21. This work, however, takes place within a rising population and increased complex needs.
2. How does this contribute to the council's corporate priorities?	This proposal supports Healthy Merton and supports our most vulnerable children and young people getting the best start in life. It reflects our MSCB priorities of Early Help, Vulnerable Adolescents, Think Family and the cross cutting priority of tackling neglect.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	This will benefit our most vulnerable clients. There are no staffing implications. The proposals benefit the council in terms of a potential to deliver future savings.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	These are commissioned services and will require ongoing investment to meet the savings targets.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have rising population and an increase in complexity of need.
The impact of both increase in numbers and complexity requires the LA to consider a range of options to meet demand and need.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	*				This proposal will increase access to all ages of Children & Y/P
Disability	*				The proposal will be effective across all children's services
Gender Reassignment		*			
Marriage and Civil Partnership		*			
Pregnancy and Maternity		*			
Race	*				
Religion/ belief	*				
Sex (Gender)	*				
Sexual orientation	*				
Socio-economic status	*				This work is with the most vulnerable groups.

APPENDIX 5

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
No negative gap identified						

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
*			

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Paul Angeli Assistant Director CSF	**Signature:** Paul Angeli	**Date: 9/10/2018**

Stage 5: Sign off by Director/ Head of Service			
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell Director CSF	**Signature:**	**Date: 14/10/2018**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-08
Which Department/ Division has the responsibility for this?	CSF/Education Division

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Review Early Years' Service: the proposal is to cease some services or consider withdrawing the Early Years' Offer. Proposed saving in 2020/21 of 150K. The impact of this proposal will mean reduced support to vulnerable children and families accessing targeted services as much of the 'universal offer' is grant funded. This could lead to an increase in numbers requiring higher cost statutory intervention.
2. How does this contribute to the council's corporate priorities?	The Early Years' Service contributes significantly to giving our children and young people the best start in life and is a key component of our Child, YP and Family Well Being Model. It contributes significantly to our MSCB priorities of Early Help; Think Family and the cross cutting theme of neglect. This proposal for a reduction in these services is contributing to the councils' corporate priorities in ensuring we manage our resources to provide value for money, high standards of governance, financial and budget management.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	There will be an impact on our service users currently accessing services provided by the Council. This will also mean reduced support for vulnerable children and families accessing targeted services and these reductions may place additional burdens on universal, targeted and specialist services to manage these needs.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	There is a risk this could lead to an increase in numbers requiring higher cost statutory intervention.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets and information to identify need, vulnerability and risk. We are aware of the areas of service provision this will affect.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age			*		A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Disability			*		A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity			*		A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Race			*		
Religion/ belief			*		
Sex (Gender)			*		
Sexual orientation			*		
Socio-economic status			*		

APPENDIX 5

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
This level of saving will impact on those already at risk and could potentially affect vulnerable young people at the higher end of our Wellbeing Model.	**The EY's service plan and EY's TOM sets out an approach to prioritisation in detail, this is being further developed as a result of the TOM refresh in early 2018**	**TBC**			**Allison Jones**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
☐	*	☐	☐

Stage 5: Sign off by Director/ Head of Service

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Carol Cammiss Business Partner CSF	**Signature:**	**Date: 01/01/17 (original)** **Updated: 31/10/2018**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell; Director CSF.	**Signature:**	**Date: 1/11/2018**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-09
Which Department/ Division has the responsibility for this?	CSF/Education Division

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Cease or radically reduce some statutory education functions. We will agree with schools priorities for the use of retained Dedicated Schools Grant (DSG) to support the delivery of a reduced statutory service function. In order to meet the proposed saving in 2020/21 of 200K, Statutory Education Services will have to be further reduced. This proposal is in line with the CSF TOM which outlines the direction of travel and focus on delivery of statutory duties. This reduced provision may impact on support to vulnerable and 'at risk' children. The department with be reorganised to reflect the downsizing should this proposal be accepted.
2. How does this contribute to the council's corporate priorities?	This is contributing to the councils' corporate priorities in ensuring we manage our resources to provide value for money, high standards of governance, financial and budget management. However, there are potential consequences in relation to this, in the medium to longer terms as increased pressure on Social Care may result in escalating costs across the department.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Schools and service users will be directly impacted by this proposal. Work will need to be undertaken to ensure that risk and vulnerability are prioritised and careful consideration is needed to ensure that we continue to deliver at least the statutory minimum requirement. Statutory functions in education contribute to our MSCB priorities of Early Help; Think Family and the cross cutting theme of neglect. Should these proposals be accepted, there will be an inevitable reduction in council staff and this equates to approximately 7 FTE's. We will use the Council's agreed HR policies and procedures for restructuring and complete EA's accordingly.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	One of the consequences of this proposal is a potential impact on Children's Social Care. This could, over time, increase the numbers of children requiring higher cost social care interventions.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets and information to identify need, vulnerability and risk. We are aware of the areas of service provision this will affect.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		
	Yes	No	Yes	No	
Age			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Disability			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Religion/ belief				*	
Sex (Gender)			*		Should this saving be accepted there will be impact on equality groups

					with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Sexual orientation				*	
Socio-economic status			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
This level of saving will potentially impact on those already at risk and vulnerable young people at the higher end of our Wellbeing Model.	**The CSF TOM sets out an approach to prioritisation in detail. This is being further developed as a result of the TOM refresh in early 2018**				**TBC**	**If agreed (yes)**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1 **OUTCOME 2** **OUTCOME 3** **OUTCOME 4**

	*		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Carol Cammiss Business Partner CSF	**Signature:**	**Date:01/01/17 (original)** **Updated: 31/10/2018**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell, Director CSF.	**Signature:**	**Date: 1/11/2018**

APPENDIX 5

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-10
Which Department/ Division has the responsibility for this?	CSF/Children's Social Care/Youth Inclusion.

Stage 1: Overview	
Name and job title of lead officer	Paul Angeli (AD Children's Social Care and Youth Inclusion)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Cease or radically reduce support to Looked After Children, Children at risk of Child Sexual Exploitation and reduce Respite Services to vulnerable and 'at risk' families in order to meet the proposed saving in 2020/21 of 200K. This will require us to review our eligibility criteria and 'service offer' for our more vulnerable clients. We will begin this process in 2019/20. This may mean reduced therapeutic support to our highly vulnerable children, our Looked After Children and our Care Leavers, potentially leaving these groups at higher risk of harm and exploitation.
2. How does this contribute to the council's corporate priorities?	This proposal contributes to the councils' corporate priorities in ensuring we manage our resources to provide value for money, high standards of governance, financial and budget management. There are potential consequences in relation to this, in the medium to longer term; as increased pressure on families and universal services becomes a reality this may result in increased numbers of children and young people meeting the threshold for Social Care, however, the need and risk is likely to be higher given the increase in eligibility. This may result in escalating costs across the department.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	This proposal will have a direct impact on our service users and is likely to reduce support to our vulnerable and 'at risk' children and young people. Reviewing our eligibility criteria will include thresholds relating to Children and young people in Need (CIN), Children subject to a child protection plan, those children and young people on the 'edge of care', Looked After Children, Care Leavers and those children and young people in the youth offending system. In addition, we will need to review our eligibility criteria for those children with complex needs and disabilities.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Any reduction in our current levels of provision will increase pressure on parents/carers and universal services' capacity to manage these needs.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets and information to identify need, vulnerability and risk. We are aware of the areas of service provision that will be affected by this proposal.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		
	Yes	No	Yes	No	
Age			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Disability			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Race			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has

					been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Religion/ belief				*	
Sex (Gender)			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Sexual orientation			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.
Socio-economic status			*		Should this saving be accepted there will be impact on equality groups with one or more protected characteristic. A preliminary assessment has been made based on the information to hand, but a full/more detailed assessment will be carried out if the decision is made.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
The TOM sets out an approach to prioritisation but this level of saving will impact on those already more at risk and vulnerable young people at the higher end of our Wellbeing Model	**Review of eligibility criteria and thresholds; ensure continued prioritisation of most vulnerable.**				**TBC**	**(if agreed) all SP's will be updated.**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
	*		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Carol Cammiss Business Partner CSF	**Signature:**	**Date: 01/01/17 (original) Updated 31/10/2018**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell, Director CSF	**Signature:**	**Date: 1/11/2018**

APPENDIX 5

Equality Analysis

What are the proposals being assessed?	Proposed budget saving - Mascot (CH87)
Which Department/ Division has the responsibility for this?	Community and Housing, Adult Social Care Direct Provision

Stage 1: Overview	
Name and job title of lead officer	Andy Ottaway-Searle, Head of Direct Provision
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	We are seeking to maximise the commercial opportunities to generate additional revenue via Telecare and other assistive technologies. Currently Telecare is provided or made available to ASC customers as part of a package of care or following hospital discharge. Mascot also has a large number of self funding customers who are happy to pay for a service which enables them to live at home for longer. In addition, we have contracts with a number of Housing Associations to monitor their tenants in a range of locations across the borough and beyond. We are currently reviewing these contracts and will seek to drive up the value, as well as securing more business from similar organisations. We will also have further promotional drives to acquire more self funding customers. Additionally, we will look at opportunities to bid for Telecare contracts in other London Boroughs, and continue to explore with health colleagues opportunities to manage Telehealth services locally. We will also seek opportunities with small businesses to manage calls and out of hours monitoring. Mascot provides an out of hours service to all Council departments. These developments will be enabled by the planned updating of Mascot's Telecare Hub, due to take place in late 2018. This will give officers more free time by being faster, more efficient in terms of running reports and allowing direct input to systems remotely. We have already created specialist installer posts at Mascot to enable other officers to support customers and staff will increasingly have time to develop the service in the ways listed above. No officer posts are at risk, and increasing income on a regular basis will provide further stability to the service. Our core business of supporting vulnerable people at home will continue to be the main priority and each new development will be monitored and reviewed to ensure that front line staff are supported in this.
2. How does this contribute to the council's corporate priorities?	Merton is committed to enabling it's residents to live well and age well, maximising their independence and wellbeing. A successful local Telecare service is a significant partner in this undertaking. The Adult Social Care Service Plan and TOM contribute to the council's overall priorities and will ensure

	that the savings targets are achieved in line with the Corporate Business Plan and the Medium Term Financial Strategy. It is also in line with the July principles, adopted in 2011 by Councillors, which sets out guiding strategic priorities and principles, where the order of priority services should be to continue to provide everything which is statutory and maintain services, within limits, to the vulnerable and elderly, with the Council being an enabler, working with partners to provide services.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Mascot currently provides support to around 1500 individuals in Merton, and works closely with colleagues across Community and Housing and other Council departments, as well as external partners such as Housing Associations and other Voluntary Sector groups. The staff group consists of 19 posts, the majority of whom are trained in all aspects of call handling, installing equipment and providing a mobile response to customers.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	We will require the ongoing support of colleagues in IT to install and maintain the hub equipment, and continued close working with ASC colleagues such as Social Workers and OTs. In terms of marketing and promotion we will explore with the Council's Communications Team the most effective means to develop the service.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Telecare is developing at various rates across local authorities, but all Adult Social Care departments use Assistive Technologies to underpin and support packages of care for vulnerable people, and as part of a range of preventative measures which enable people to live well at home for longer. Mascot has been working in partnership with local housing providers for some years and we know that their reliance on Telecare to support tenants who are based in multiple sites has continued to grow. The range of Assistive Technologies in growing all the time and we are confident that as this happens more opportunities will be available to support both individuals and groups.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	✓			✓	
Disability	✓			✓	
Gender Reassignment	✓			✓	
Marriage and Civil Partnership	✓			✓	
Pregnancy and Maternity	✓			✓	
Race	✓			✓	
Religion/ belief	✓			✓	
Sex (Gender)	✓			✓	
Sexual orientation	✓			✓	
Socio-economic status	✓			✓	

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

Negative impact / gap in information identified in the Equality Analysis	
Action required to mitigate	
How will you know this is achieved? e.g. performance measure / target	
By when	
Existing or additional resources?	
Lead Officer	
Action added to divisional /	

team plan?	

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
✓			
The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.	The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.	The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully.	The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Richard Ellis, Interim Head of Commissioning	**Signature: Richard Ellis**	**Date: 1 October 2018**
Improvement action plan signed off by Director/ Head of Service	John Morgan, Assistant Director of Adult Social Care	**Signature: John Morgan**	**Date: 1 October 2018**

Equality Analysis

What are the proposals being assessed?	Withdraw notional rent subsidy for MVSC and Citizens Advice **2019-20 CS03**
Which Department/ Division has the responsibility for this?	Corporate Services / Customers, Policy and Improvement

Stage 1: Overview	
Name and job title of lead officer	Sophie Ellis, A/D Customers, Policy and Improvement
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	To achieve MTFS savings it is proposed to withdraw notional rent funding for MVSC and Citizens Advice Merton and Lambeth (CAML) and charge them full market rents for the premises they occupy from 2020/21 to deliver a saving of £99k. Currently both of these organisations have Strategic Partner Grant funding until March 2019 and both organisations have applied for funding under the new funding round 2019-22. **2019-20 CS03** MVSC provide advice and support to the 650 or so voluntary sector groups in the borough. They provide advice on starting up, funding, governance and trouble shooting. They provide practical support on mergers and consortia e.g. Merton Accord. They provide training to volunteers and trustees. They provide the only volunteer brokerage service in the borough. They provide information and advice through a regular bulletin. The provide a voice and leadership role within the sector and are the main point of contact for the Council, the rest of the public sector and businesses wanting to fund the VCS through CSR. The Citizens Advice are the main provider of information and advice services in the borough. As well as general advice they also provide a casework service for more vulnerable residents. They assisted 32,000 residents in 2017/18 and the numbers have been steadily increasing. This is via web, phone and face to face. They provided assisted casework to 8,000 people. The bulk of the CAML service is provided by unpaid volunteering – 240 in 2017.18. MVSC and CAML both have lease agreements with the Council for offices that the Council owns. MVSC occupies offices at Vestry Hall and are not charged any rent. CAML occupy a shop front office at 7 Crown Parade, Morden and Kellaway House at 326 London Road Mitcham and again are not charged for the premises. Corporate Services fund an internal transfer to Property Services with E&R to cover the cost of the notional rent. The budget for this is currently £99k. To achieve a saving of £99k we could charge MVSC and CAML market rents. Alternatively we could look to

	accommodate both organisations within the Civic Centre subject to feasibility.
2. How does this contribute to the council's corporate priorities?	Generates a £99k saving towards the MTFS.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The Council is the main funder for both MVSC and CAML. If the Council charged rent to both organisations for the offices they occupy there are three possible outcomes: (1) Both organisations will pay the rent using monies they receive from the Strategic Partner Grant programme. This is effectively a cut to their grant. In July 2017 Cabinet agreed to fund the Strategic Partner programme at its current level for the next 3 years. Potentially this proposal goes back on the decision made at Cabinet. Under this scenario both organisations would have to significantly reduce their services. (2) They find alternative funding to pay for the rent. This is highly unlikely as the funding environment for voluntary and charitable organisations is extremely challenging. (3) One or both organisations are forced to shut down. The biggest impact of a reduction in service capacity will be on service users. MVSC will not be able to provide the current levels of advice and support to the voluntary sector. CAML would how to restrict further their information and advice offer. This will also have an impact on demand for LBM services, in particular social care services, as the VCS performs a vital preventative role.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Shared with E&R in that property services provide the office accommodation and CS provide the rent to E&R.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

It is likely that the services provided by these two organisations will have to reduce. MVSC would have to reduce its support offer to the voluntary sector. The voluntary sector is a major asset in Merton and provides help, support and activities across the spectrum. Often these services support Merton's most vulnerable residents with the highest needs – older people, disabled people and those with long term illnesses, families and individuals living in poverty, vulnerable children etc. There would be an impact on voluntary organisations without MVSC's support. Also, the Council needs MVSC to support and develop the voluntary sector to provide early intervention and preventative services that the Council needs but can no longer afford to provide.

The impact of a reduction in CAML's service would be significant. They would have to lose at least one paid member of staff. Paid staff provides management and support to the volunteers. They would therefore not be able to deliver the level of service because they would have to reduce the number of volunteers being managed. This would mean a direct reduction in front line advice services. Clients of CAML are more likely to be vulnerable and from the poorest parts of the borough. 55% of services users are from BAME communities and 22% of clients are from Merton's two poorest wards – Cricket Green and Figges Marsh). The current location of the CAML main office in Mitcham is pivotal to provide easy access to face to face support.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		✓	✓		Older residents generally have higher needs and will therefore need more advice and support from the voluntary sector. The highest proportion of VCS organisations provide services related to Health and Wellbeing. The likely outcome is a reduction in service provision to these uses.
Disability		✓	✓		Disabled residents generally have higher needs and will therefore need

					more advice and support from the voluntary sector. The highest proportion of VCS organisations provide services related to Health and Wellbeing. The likely outcome is a reduction in service provision to these users.
Gender Reassignment		✓	✓		People undergoing gender reassignment are likely to have higher support needs, require specialist advice and face discrimination. The likely outcome is a reduction in service provision to these users.
Marriage and Civil Partnership		✓	✓		Some impact for people seeking advice and support on civil partnerships and marital issues if provision reduces.
Pregnancy and Maternity		✓	✓		Some impact for people seeking advice and support on pregnancy and maternity issues if provision reduces – in particular around employment contracts.
Race		✓	✓		BAME groups are over-represented across a range of needs in relation to employment, discrimination, housing, poverty etc. The take up of advice services and voluntary sector support is therefore significantly higher for these groups. A reduction in advice capacity and support to the VCS will therefore affect these users more.
Religion/ belief		✓	✓		Some impact for people seeking advice on issues relating to religion / belief if provision reduces, particularly around discrimination and hate crime.
Sex (Gender)		✓	✓		Some impact for people seeking advice on issues relating their gender if provision reduces, particularly around discrimination and domestic violence.
Sexual orientation		✓	✓		Some impact for people seeking advice on issues relating their sexual orientation if provision reduces, particularly around discrimination and hate crime.
Socio-economic status		✓	✓		Service users for advice services and VCS groups are significantly higher for the east of the borough as users with lower economic status have higher needs and therefore are over-represented in terms of service demand.

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
It is likely that both MVSC and CAML would have to reduce service delivery to afford to pay for market rents. Service users from groups with protected characteristics are over-represented because they generally have higher advice and support needs.	Advice and support to help both organisations access additional (grant) funding to reduce the shortfall. Monitoring of the impact in terms of service take-up from those with protected characteristics. However, there is a possibility that both organisations would not be able to increase income sufficiently to cover the rental costs. We could explore whether both organisations could move into alternative council accommodation e.g. at the Civic Centre. This would be dependent on a feasibility study. However, this would not be ideal as the majority of service users for both organisations are from the east of the Borough.	Additional grant revenue raised to cover the cost of the rental charges	Funding would need to be secured by March 2021	Existing resources.	John Dimmer, Head of Policy, Strategy and Partnerships	Subject to Cabinet and CMT approval this proposal will be added to the Customers, Policy and Improvement Service Plan.

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		X	

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	John Dimmer, Head of Policy, Strategy and Partnerships	**Signature: John Dimmer**	**Date: 5 Dec 2018**
Improvement action plan signed off by Director/ Head of Service	Sophie Ellis, A/D Customers, Policy and Improvement	**Signature: Sophie Ellis**	**Date: 5 Dec 2018**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	Reduce the Strategic Partner Grant programme by 10% to save £78k from 2022/23 - **2019-20 CS04**
Which Department/ Division has the responsibility for this?	Corporate Services / Customers, Policy and Improvement

Stage 1: Overview	
Name and job title of lead officer	Sophie Ellis, A/D Customers, Policy and Improvement
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	To achieve MTFS savings it is proposed to Reduce the Strategic Partner Grant programme by 10% to save £78k from 2022/23. The current Strategic Partner Grant programme funds information and advice services and also advice and support services for the Voluntary and Community Sector (VCS). **2019-20 CS04**
2. How does this contribute to the council's corporate priorities?	Generates a £78k saving towards the MTFS.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The immediate impact of a cut to the Strategic Partner Programme will be a decrease in advice and support provision to residents and a cut in support services to the VCS itself. The biggest impact of a reduction in service capacity will be on service users. There would be a reduction in the level of advice and support to the voluntary sector. There would also be a reduction in the support available to voluntary sector organisations in terms of advice, training and representation. This will also have an impact on demand for LBM services, in particular social care services, as the VCS performs a vital preventative role.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	No, responsibility lies with Corporate Services. However, a reduction in advice and support services provided by the voluntary sector could increase demand for other departments, in particular adult social care and childrens social care.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

A reduction in funding for the Strategic Partner programme will reduce the provision of services outlined below:

Citizens Advice Merton and Lambeth (CAML) – 32,000 residents contacted CAML in 2017/18 for help and advice. Assisted residents to gain c. £2 million in benefits.

Southwest London Law Centres (SWLLC) – managed 368 cases in 2017/18, the vast majority through pro-bono legal support. NB 1:3 clients are turned away due to capacity issues.

Springfield Advice and Law Centre (SLC) – 138 cases managed in 2017/18, the majority of service users with complex mental health needs. Mainly dealing with debt, benefits and housing issues.

Merton Community Transport (MCT) – transport for 258 service users in 2017/18

Merton Centre for Independent Living (MCIL) – assisted 165 service users across 365 cases delivering 1,345 advice session in 2017/18

Merton Voluntary Services Council (MVSC) – In 2017/19 239 advice sessions delivered, 32 organisational health checks completed, 50 e-bulletins going out to 1000 VCS organisations, regular INVOLVE information sessions, 900 volunteers supported.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		✓	✓		Older residents generally have higher needs and will therefore need more advice and support from the voluntary sector. The highest proportion of VCS organisations provide services related to Health and Wellbeing. The likely outcome is a reduction in service provision to these uses.

Disability		✓	✓		Disabled residents generally have higher needs and will therefore need more advice and support from the voluntary sector. The highest proportion of VCS organisations provide services related to Health and Wellbeing. The likely outcome is a reduction in service provision to these users.
Gender Reassignment		✓	✓		People undergoing gender reassignment are likely to have higher support needs, require specialist advice and face discrimination. The likely outcome is a reduction in service provision to these users.
Marriage and Civil Partnership		✓	✓		Some impact for people seeking advice and support on civil partnerships and marital issues if provision reduces.
Pregnancy and Maternity		✓	✓		Some impact for people seeking advice and support on pregnancy and maternity issues if provision reduces – in particular around employment contracts.
Race		✓	✓		BAME groups are over-represented across a range of needs in relation to employment, discrimination, housing, poverty etc. The take up of advice services and voluntary sector support is therefore significantly higher for these groups. A reduction in advice capacity and support to the VCS will therefore affect these users more.
Religion/ belief		✓	✓		Some impact for people seeking advice on issues relating to religion / belief if provision reduces, particularly around discrimination and hate crime.
Sex (Gender)		✓	✓		Some impact for people seeking advice on issues relating their gender if provision reduces, particularly around discrimination and domestic violence.
Sexual orientation		✓	✓		Some impact for people seeking advice on issues relating their sexual orientation if provision reduces, particularly around discrimination and hate crime.
Socio-economic status		✓	✓		Service users for advice services and VCS groups are significantly higher for the east of the borough as users with lower economic status have higher needs and therefore are over-represented in terms of service demand.

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Residents from the groups with Protected Characteristics have higher needs and are over-represented in terms of take-up of advice and support from the voluntary sector. A cut in provision will therefore have a disproportionate negative impact on these groups. For example, clients of Citizens Advice are more likely to be vulnerable and from the poorest parts of the borough. 55% of services users are from BAME communities and 22% of clients are from Merton's two poorest wards – Cricket Green and Figges Marsh).	A 10% funding reduction will have an impact. However, the timing of the reduction in 2022/23 allows time for the voluntary sector to prepare for this change and seek alternative funding sources. They would have 3 years to identify alternative funding either from the public sector or the private sector. The Council is supporting the Merton Giving initiative to seek funding for the voluntary sector from local businesses in Merton and High Net Worth Individuals living in the borough. Whilst this might not cover all of the £87k funding reduction it is reasonable to assume that other sources of funding might cover some of this funding decrease.	Additional funding raised from alternative sources.	Funding would need to be secured by March 2023	Existing resources	John Dimmer, Head of Policy, Strategy and Partnerships	Subject to Cabinet and CMT approval this proposal will be added to the Customers, Policy and Improvement Service Plan.

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		X	

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	John Dimmer, Head of Policy, Strategy and Partnerships	**Signature: John Dimmer**	**Date: 5 Dec 2019**
Improvement action plan signed off by Director/ Head of Service	Sophie Ellis, A/D Customers, Policy and Improvement	**Signature: Sophie Ellis**	**Date: 5 Dec 2019**

APPENDIX 9 (a)

Equality Analysis

merton

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Reduce the establishment in Merton Registration Service by 1 FTE (CS 2019-20 CS05)
Which Department/ Division has the responsibility for this?	Corporate Services / Customer, Policy and Improvement

Stage 1: Overview	
Name and job title of lead officer	Sean Cunniffe, Head of Customer Contact
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Following the Home Office's decision to cease the Nationality Checking Service, Nationality Document Return service and the Settlement Checking Service it is proposed to reduce the establishment by one FTE through voluntary redundancy. If this cannot be achieved compulsory redundancy will be applied with those within the affected position. **2019-20 CS05**
2. How does this contribute to the council's corporate priorities?	Helps the Council balance the budget and reflects required resource for this work.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Merton registration Service staff.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The Home Office dictate what services are managed through the Registration Service. We have no say on this. It is our responsibility to interpret their requirements and manage the service.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Have analysed the workflow following the decision by the Home Office to cease and remove a number of services from the Registration Service.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies		Tick which applies		Reason Briefly explain what positive or negative impact has been identified
	Positive impact		Potential negative impact		
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)			Yes		If a volunteer cannot be established we will have to consider compulsory redundancy within a staff group entirely made up of women.
Sexual orientation					
Socio-economic status					

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Compulsory redundancy of a female employee	Follow agreed HR processes for redundancies	No appeals against selection	31.03.2019	Existing	Sean Cunniffe	No

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
		X	

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Sean Cunniffe, Head of Customer Contact	**Signature:** Sean Cunniffe	**Date:** 21.11.2018

Stage 5: Sign off by Director/ Head of Service			
Improvement action plan signed off by Director/ Head of Service	Sophie Ellis, Assistant Director of Customers, Policy & Improvement	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Reduction in Staffing within Revenues and Benefits (CS 2019-20 CS06)
Which Department/ Division has the responsibility for this?	Corporate Services/Resources

Stage 1: Overview	
Name and job title of lead officer	David Keppler Head of Revenues and Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Reduction in staffing within Revenues and Benefits (approximately 4 FTE). - **2019-20 CS06**
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Depending on the review on staffing levels there may be an impact on the level of service provided, there could be a reduction in performance in housing benefits administration with claims taking slightly longer to process. There may be an impact on advice and support provided to residents
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None Review of staffing structure within the section taking into account impact of universal credit, business rates retention, collection rates for all debt and welfare benefits. There will be service impacts as approximately 7% staffing reduction

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- Reduction in staffing within Revenues & Benefits will where it can be achieved through natural wastage
- Analysis of workload through implementation and roll out of Universal Credit
- Advice and support provided by voluntary sector

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status			Yes		It is possible that claims for housing benefit may take longer to process or the amount of advice and support we provide reduces due to reduced resources

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Potential discriminatory practice in the selection for redundancy	None as processes and procedures already exist and it is anticipated that the saving will be achieved through natural wastage or voluntary redundancies.	Achievement of saving	2020/21	Existing	David Keppler	Not required
Monitoring of housing benefit performance to ensure claims are paid within a reasonable timeframe	Monitoring of claims and identifying reductions in speed of paying initial claims	Performance indicators / daily staff work queues	2020/21	Existing	David Keppler	Not required
Ensure that any gaps in advice and support are met and delivered by voluntary sector organisations	Discussions with voluntary organisations regarding advice and support available across the borough			Existing	David Keppler	Not required

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1 **OUTCOME 2** **OUTCOME 3** **OUTCOME 4**

X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date:** 15.11.18
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings (CS 2019-20 CS08)
Which Department/ Division has the responsibility for this?	Corporate services/ Resources

Stage 1: Overview	
Name and job title of lead officer	Nemashe Sivayogan
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Delete half an Insurance Officer post **(CS 2019-20 CS08)**
2. How does this contribute to the council's corporate priorities?	Deals with claims made against the Council
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	External claimants and internal Service Departments relating to an insurance claim.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Our external insurance partners share this responsibility.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Officers have considered cutting other posts within the division, but believe in the short to medium term that this post as the least impact as it is a vacant post.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age				*	
Disability				*	
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race				*	
Religion/ belief				*	
Sex (Gender)				*	
Sexual orientation				*	
Socio-economic status				*	

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
N/A						

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Nemashe Sivayogan Head of Treasury and Pensions	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Roger Kershaw AD Resources	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Amend discretionary rate relief policy (**2019-20 CS11)**
Which Department/ Division has the responsibility for this?	Corporate Services/Resources

Stage 1: Overview	
Name and job title of lead officer	David Keppler, Head of Revenues & Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Amend the discretionary rate relief policy for qualifying businesses/charities to reduce the overall amount contributed by Merton taxpayers by £75,000 per year. - **2019-20 CS11**
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Some charities, sports clubs, education establishments and non profit making organisations will have a reduction in or will lose all rate relief
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- It is for the Council to determine the qualifying criteria and at what level it wishes to set for the discretionary rate relief for qualifying organisations. The existing scheme is already known to be more generous than neighbouring LA's and the proposal will bring us closer in sync with them.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		No	Yes		The policy is discretionary and will be publicised in advance allowing organisations the opportunity to balance their own budgets accordingly. Relief can change annually, dependent on circumstances, and no amount is guarantied. All organisations are advised that relief is only awarded for a year and a review will be undertaken before the next award period.
Disability		No	Yes		
Gender Reassignment		No		No	
Marriage and Civil Partnership		No		No	
Pregnancy and Maternity		No	Yes		
Race		No		No	
Religion/ belief		No		No	
Sex (Gender)		No		No	
Sexual orientation		No		No	
Socio-economic status		No	Yes		

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Some organisations will absorb the change, for example, private sports clubs, education establishments, charity shops, however it cannot be identified which organisations may reduce or stop services to residents.	Invite and consider "hardship" relief applications from organisations affected	Monitor number of hardship applications and number of claims awarded	April 19 to March 20	Existing	David Keppler	Business Rates section work plan

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
	X		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date: 9.11.18**

Stage 5: Sign off by Director/ Head of Service			
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Increase Empty Homes Premium for long term empty properties (2019-20 CS12)
Which Department/ Division has the responsibility for this?	Corporate Services/Resources

Stage 1: Overview	
Name and job title of lead officer	David Keppler, Head of Revenues & Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Increase the empty home premium for long term empty properties – will an additional £97,000 council tax income. - **2019-20 CS12**
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Residents in the borough who own long term empty properties. A property is classed as long term empty once it has been empty and substantially unfurnished for two years. Currently the premium is 50% of the council tax and from April 2019 this premium will increase to 100%.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- An analysis of current data shows that approximately 155 – 170 properties fall into this criteria and are charged the existing premium. As at the end of September 18 there were 166 properties charged the premium.
- The legislation allows a premium of 200% from April 2020 for properties empty for 5 years and 300% from April 2021 for properties empty for 10+ years.
- The change in legislation is aimed at reducing the number of empty properties.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					.
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status					

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date: 12.11.18**
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Improved collection of HB overpayments and reduction of Bad Debt Provision (2019-20 CS13)
Which Department/ Division has the responsibility for this?	Corporate Services/Resources

Stage 1: Overview	
Name and job title of lead officer	David Keppler, Head of Revenues & Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Improved collection of HB overpayments and subsequent reduction Bad Debt Provision - **2019-20 CS13** Through continued existing approach to recovery
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Housing benefit claimants and ex housing benefits claimants.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- An analysis of recent years data, including the total value of overpayments raised, invoices issued, payments and subsidy received and overpayments recovered from on-going benefits.
- There is no change to the existing recovery processes or procedures

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					.
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status			Yes		Housing benefit overpayments will only apply to residents on lower income or state benefits. Overpayments are generally incurred when the claimant fails to advise of a change in income or circumstances. Set levels of recovery from on-going benefit are applied using DWP levels. Payment arrangements are agreed with claimants to recover overpayments, income

					and expenditure assessments are undertaken to assist with affordability and the council has the discretion to write off all or parts of overpayments where circumstances are exceptional. – however, it should be noted that this is not a new policy or approach to the recovery of this debt.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date: 14.11.18**
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	CS 2019-20 CS14 & 2019-20 CS15
Which Department/ Division has the responsibility for this?	Corporate Governance – legal

Stage 1: Overview	
Name and job title of lead officer	Paul Evans
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Reduce budget allocated to Council departments to carry out criminal and civil litigation by 50% **2019-20 CS14 and 2019-20 CS15.** The proposal will reduce the resource available to carry out criminal enforcement or civil claims and departments will have to decide to manage demand or commit unfunded resource on one off cases on the merits.
2. How does this contribute to the council's corporate priorities?	Reduced cost
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The Council's enforcement teams will need to decide which cases to prosecute or fund from elsewhere. Departments will need to consider funding to issue or defend proceedings on a case by case basis.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The decision to take any legal proceedings is made by other departments of the council.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Data from comparative council's and work volume. Enforcement case numbers.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		x		x	There is no identified impact as legal resource will continue to be available.
Disability		x		x	
Gender Reassignment		x		x	
Marriage and Civil Partnership		x		x	
Pregnancy and Maternity		x		x	
Race		x		x	
Religion/ belief		x		x	
Sex (Gender)		x		x	
Sexual orientation		x		x	
Socio-economic status		x		x	

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
n/a						

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

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What are the proposals being assessed?	Facilities Management – Client Financial Affairs (CS2019-20 CS23) NB: Proposed new budget savings for Infrastructure & Technology division. Savings reference numbers CS16, 17,18,19,20,21, & 22 are new savings in 2020/21 to 2022/23 financial years, which relate to proposed operational changes in how some current services will be delivered in the future. These have been assessed as not having any potential equalities impact implications.
Which Department/ Division has the responsibility for this?	Corporate Services – Infrastructure & Technology Division

Stage 1: Overview	
Name and job title of lead officer	Mark Humphries - Assistant Director Infrastructure & Technology
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	CS25 – Implement a 'means assessed' charging scheme for Appointeeships undertaken by the Client Financial Affairs team., **- 2019-20 CS23**
2. How does this contribute to the council's corporate priorities?	Reviewing the current arrangements for how this service could be delivered in the future will ensure that we continue to provide an efficient and cost effective solution in order to meet the requirements of the Council's wider MTFS.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	I&T07 – External clients currently receive a free service irrespective of the value of assets they have, and therefore the proposal is to introduce a graduated charging mechanism based on the value of the individual client's assets and their ability to pay for the appointeeship services provided.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	No

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Following a detailed analysis of information available on the CFA team's client database, in respect to factors such as age, gender and ethnicity, it has been determined that no one particular client group would be negatively impacted by this proposal. This is due to the fact that the proposed charges will be means tested and based purely on the value of an individual's assets and their ability to pay.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		X		X	None.
Disability		X		X	None.
Gender Reassignment		X		X	None.
Marriage and Civil Partnership		X		X	None.
Pregnancy and Maternity		X		X	None.
Race		X		X	None.
Religion/ belief		X		X	None.
Sex (Gender)		X		X	
Sexual orientation		X		X	None.
Socio-economic status		X	X		The proposal to 'means test' client's assets may disadvantage some particular individuals who have been prudent and have savings set aside. However, this is also an issue because those clients that theoretically could afford to pay are currently receiving a free service and therefore being subsidised by the authority.

7. If you have identified a negative impact, how do you plan to mitigate it?

There may be a negative impact for disability and socio-economic reasons

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
X	**Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources ?**	**Lead Officer**	**Action added to divisional/ team plan?**
There may be a negative impact for disability and socio-economic reasons	We will adopt a means tested approach to implementing charges based on the client's ability to pay.	As part of existing management and monitoring arrangements of each individual clients financial resources	As an part of the new charging arrangement	Existing team resources	Jacky Greenfield	Yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Mark Humphries – Assistant Director Infrastructure & Technology	**Signature: Mark Humphries**	**Date: 26/11/18**
Improvement action plan signed off by Director/ Head of Service	Caroline Holland	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Aligning the service to make the necessary savings 2019-20 CS24
Which Department/ Division has the responsibility for this?	Corporate Services/HR

Stage 1: Overview	
Name and job title of lead officer	Kim Brown – HR Lead
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	To realise the savings there will be a restructure and realignment of the service to meet customers needs. The proposal includes the deletion of vacant posts and there are no risks of redundancy. - **2019-20 CS24**
2. How does this contribute to the council's corporate priorities?	Assists with Council achieving a balanced budget and at the same time ensure services are delivered.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Schools, the workforce, members and the London Boroughs of Sutton and Kingston. Provides the ability to deliver services. A reduction in reports.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	N/A

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

List the type of evidence: data in relation to customer numbers, analysis of vacant posts and the impact this would have on service delivery.

What impact has this evidence had on what you are proposing? It demonstrates that the service can be delivered but in relation to service level agreements we must be clear and define the service and expectations.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status					

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Kim Brown HR Lead	**Signature:**	**Date:22/11/2019**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Charge for Voluntary sector payroll 2019-20 CS25
Which Department/ Division has the responsibility for this?	Corporate Services/HR

Stage 1: Overview	
Name and job title of lead officer	Kim Brown – HR Lead
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Resources need to be allocated to provide this service and as HR are required to make savings this is not sustainable. The sector will be charge for the delivery of the payroll service and SLAs will be drafted for each organisation. **2019-20 CS25**
2. How does this contribute to the council's corporate priorities?	Assists with Council achieving a balanced budget and at the same time ensure services are delivered.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Voluntary groups
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	N/A

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

List the type of evidence: data in relation to customer numbers, associated costs.

What impact has this evidence had on what you are proposing? It demonstrates that organisation needs to charge for the service if this does not happen it will impact on other services where customers are charged such as the Schools.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status			x		Voluntary groups provide services to residents in the East of the borough that may be passed to service users – although this may be negligible.

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Voluntary groups provide services to residents in the East of the borough that may be passed to service users	The organisations can buy-in to community accountancy services offered through Merton Voluntary Services.	Buy back of service from HR or buy from Community accounting service.	April 2019		Kim Brown	Yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
	X		

Stage 5: Sign off by Director/ Head of Service

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Kim Brown HR Lead	**Signature:**	**Date:22/11/2019**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Procurement savings - Review of contract arrangements 2019-20 CS26
Which Department/ Division has the responsibility for this?	Corporate Services/HR

Stage 1: Overview	
Name and job title of lead officer	Kim Brown – HR Lead
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Review contract arrangements and seek to realise savings through negotiation. - **2019-20 CS26**
2. How does this contribute to the council's corporate priorities?	Assists with Council achieving a balanced budget and at the same time ensure services are delivered.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	External provider
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Other boroughs

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

List the type of evidence: data in relation to customer numbers, associated costs.

What impact has this evidence had on what you are proposing? It demonstrates that savings may be realized through reviewing contract arrangements.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status					

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Kim Brown HR Lead	**Signature:**	**Date:22/11/2019**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

merton

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	**2019-20 CS27**
Which Department/ Division has the responsibility for this?	Corporate Governance

Stage 1: Overview	
Name and job title of lead officer	Paul Evans
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Reduce management cost by merging the democratic services team with the elections team and deleting one head of service post. **2019-20 CS27**
2. How does this contribute to the council's corporate priorities?	Increase internal efficiency
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Proposal to merge democratic services and elections team under one manager. will impact on two teams which currently have 2 team manager roles. No perceived external impact on support to councillors or the electoral process. Risk of reduced availability of support for scrutiny and the elections team at a senior level.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The responsibility is not shared.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

There is no identified impact on any protected characteristic.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		x	x		Deletion of a post could impact on protected groups age and sex
Disability		x		x	
Gender Reassignment		x		x	
Marriage and Civil Partnership		x		x	
Pregnancy and Maternity		x		x	
Race		x		x	
Religion/ belief		x		x	
Sex (Gender)		x	x		
Sexual orientation		x		x	
Socio-economic status		x		x	

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
	HR policies will mitigate					

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
		X	

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Paul Evans	**Signature:**	**Date:22/11/2018**
Improvement action plan signed off by Director/ Head of Service	Paul Evans	**Signature:**	**Date:22/11/2018**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Increase in Enforcement Income (CSREP 2019-20 (3)
Which Department/ Division has the responsibility for this?	Corporate Services/Resources

Stage 1: Overview	
Name and job title of lead officer	David Keppler, Head of Revenues & Benefits
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Increase in income from Enforcement Service to be achieved through the increase in number of warrants being generated from ANPR contraventions - **CSREP 2019-20 (3)**
2. How does this contribute to the council's corporate priorities?	Assists with balancing the budget.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	This will have limited impact on external customers. The proposals assist the Council with making a balanced budget.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	None

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- Increase in income from Enforcement Service – following the implementation of ANPR there has been an increase in the number of warrants issued following road contraventions. No impact on external customers or protected characteristics.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					.
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status					

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	David Keppler, Head of Revenues & Benefits	**Signature: David Keppler**	**Date:** 15.11.18
Improvement action plan signed off by Director/ Head of Service		**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

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Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	CSREP 2019-20 (6)
Which Department/ Division has the responsibility for this?	Corporate Governance – legal

Stage 1: Overview	
Name and job title of lead officer	Paul Evans
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Reduce budget allocated to employment advice and support **CSREP 2019-20 (6)** The council uses considerably more employment support than comparative council's and a reduction in 50% of this should not have an impact.
2. How does this contribute to the council's corporate priorities?	Reduced cost
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Departments will need to consider funding to use legal employment advise and support. Potential staff reduction if capacity isn't required by other partners.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The decision to take any legal proceedings is made by other departments of the council.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Data from comparative council's and work volume. Employment advice resource used.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		x	x		Potential impact from staff reduction
Disability		x		x	
Gender Reassignment		x		x	
Marriage and Civil Partnership		x		x	
Pregnancy and Maternity		x		x	
Race		x		x	
Religion/ belief		x		x	
Sex (Gender)		x	x		Potential impact from staff reduction
Sexual orientation		x		x	
Socio-economic status		x		x	

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Potential impact due to possible staff reduction	**Follow HR policies**					

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
	X		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	CSREP 2019-20 (6)
Which Department/ Division has the responsibility for this?	Corporate Governance – legal

Stage 1: Overview	
Name and job title of lead officer	Paul Evans
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Reduce budget allocated to employment advice and support **CSREP 2019-20 (6)** The council uses considerably more employment support than comparative council's and a reduction in 50% of this should not have an impact.
2. How does this contribute to the council's corporate priorities?	Reduced cost
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Departments will need to consider funding to use legal employment advise and support. Potential staff reduction if capacity isn't required by other partners.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The decision to take any legal proceedings is made by other departments of the council.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Data from comparative council's and work volume. Employment advice resource used.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		x	x		Potential impact from staff reduction
Disability		x		x	
Gender Reassignment		x		x	
Marriage and Civil Partnership		x		x	
Pregnancy and Maternity		x		x	
Race		x		x	
Religion/ belief		x		x	
Sex (Gender)		x	x		Potential impact from staff reduction
Sexual orientation		x		x	
Socio-economic status		x		x	

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Potential impact due to possible staff reduction	**Follow HR policies**					

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
	X		

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

merton

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-02 & CSF2018-12
Which Department/ Division has the responsibility for this?	CSF/Children's Social Care

Stage 1: Overview	
Name and job title of lead officer	Paul Angeli (AD Children's Social Care/Youth Inclusion)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	These savings relate to the financial year 2018-19 The LA will delete a management post in the Children with Disabilities Team. This deletion will require a small restructuring of the team and lead to an increased responsibility for the team manager in this team. This will allow us to secure £50,000 savings. There will be a reduction of 3 family workers and one family group co-ordinator at Bond Road Family Centre. This will allow us to secure £127,000 savings. This will require deletion of posts. The reduction will in effect lead to a reduction of provision to vulnerable children between the ages of 5 and 11. The service works with children in need and subject to child protection plans. The proposals reduce the capacity of the service to work with about 60 families. It will also lead to a reduction of about 25-30 Family Group Conferences in 2018-19. As part of our Early Help offer we administer and provide support to schools and other agencies who complete early help assessments. There will be re grading and reduction in support for early intervention assessments. There will be a re grading of the current post to a social work grade and the administrative staff member will be changed to be part time. This will secure savings of £25,000.
2. How does this contribute to the council's corporate priorities?	This proposal contributes to the corporate priority of setting a balanced budget, while prioritising services to children and families in line with our Wellbeing Model.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Proposals to reduce management oversight of cases in Children With Disabilities: will have an impact on the staff in the disabilities service as they will have less access to managerial support. Proposal to reduce Bond Road capacity by reducing Family Support Workers will impact on users of the centre. These are children in need and subject to child protection plans between the ages of 5 and 11. This reduction will lead to an overall drop in service provision to approximately 40 families or about 60-80 children in the year. It constitutes an overall drop in service of about 10%. As this is a service targeted to

	some of the more vulnerable families in the borough, the reduction in capacity will necessarily impact on some of these. The service will continue to try to identify and prioritise access to services for those families that are most vulnerable. The reduction in resources for early assessment may potentially result in an impact on partners. The role has a promotional role in driving early assessment and early help and the change in the posts' capacity may lead to less use of CASA and a reduction in access to early help over time.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The CWD works closely with colleagues from SENDIS, Health and Social Care. Delivery of services for children with disabilities is a shared council function and is part of SENDIS. Overall responsibility for delivery remains with the department. Bond Road: These services are not shared with any other departments. Colleagues in Health, Education and Social Care will be impacted through the reduction in capacity to provide a service to families they are working with. Health and Education services refer in to Bond Road. Responsibility sits with Children's Social Care. The CASA post is based in Children's Social Care and works extensively with partners working with children. These include schools, children's centres, early years settings, health and the voluntary sector.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have information about caseloads and the ratios of staff to managers in the service. Children with Disabilities are known evidentially to be some of the most vulnerable children in our system.

We have data available from our review of Bond Road. This has provided an insight into the impact of the reduction in posts.

We have data about CASA use from 2017-18 and we are able to understand a potential impact on use.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		*	*		Vulnerable children and families in need of services will be impacted by these changes as there will be fewer resources for this group of children and their parents. In the case of Bond Road this will impact specifically on the age group 5-11 years.
Disability		*	*		The proposals relate to children with disabilities. We anticipate a small impact as the reduction in capacity is managerial.
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)		*	*		Women who are the main users of Bond Road and CSC services generally will be impacted. This will be a minor impact as service re design should support access to services through for instance group work.
Sexual orientation					
Socio-economic status		*	*		This work tends to be with the most vulnerable socio-economic groups and therefore the reduction in services will be felt disproportionately by them.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
There will be some impact on the oversight of children with disabilities	**Y**	**On going performance management and auditing of the service will be required.**	**On Going**	**Existing resources**	**Gordon Murray**	**Y**
There will be a negative impact on provision for young children and their families subject to a child protection plan	**Y**	**Review of Bond Road underway to review service configuration and eligibility criteria. The impact will be minimised by service design that means that the most vulnerable continue to receive a service and group work delivery is explored.**	**Oct 2018**	**Existing resources**	**Gordon Murray**	**Y**
There will be a loss of 1 Family Group Co Ordinator and this will mean less families will be able to access this service. It could lead to more children at risk of entering care.		**We will role out a family finding model and train more staff in effectively helping families to develop resources to replace the FGC provision.**	**April 2019**	**Existing Resources**	**Gordon Murray**	**Y**
As above	**Y**	**Early Help review to identify how children in need and subject to a Child Protection Plan can access children's centres provision.**	**Nov 2018**	**Existing resources**	**Gordon Murray**	**Y**
There will be some gaps in the support available for CASA delivery.	**Y**	**Work to take place with partners and MSCB so CASA is promoted as part of early help and safeguarding of children.**	**Jan 2019**	**Existing Resources**	**Paul Angeli**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		*	

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Paul Angeli AD CSC &YI	**Signature:** Paul Angeli	**Date: 17/11/18**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell DCS CSF	**Signature:** RAWardell	**Date: 22/11/2018**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF 2018-07
Which Department/ Division has the responsibility for this?	CSF/Education

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	There will be a reduction in posts across School Admissions, School Improvement and the My Futures teams. These deletions will require restructuring in each of the teams. The reductions in the School Admissions and School Improvement teams will increase the workload of the remaining staff and in one team increase the role and responsibility of a team manager. The reductions in the My Futures team will in effect lead to a reduction of provision to vulnerable young people between the ages of 15 and 19. The service works with young people at risk of being or who are NEET (Not in Education Employment and Training. The proposals reduce the capacity of the service to work with about 40 young people. The above proposals will allow us to secure £70,000 savings. The proposal includes a reduction in the contribution the Council makes to the Safeguarding Partnership. It is hoped that other contributing partners who currently make a much smaller contribution will make up the shortfall but otherwise there will need to be a reduction in the support that is offered across the partnership which may impact on the training offer. This will secure savings of £30,000.
2. How does this contribute to the council's corporate priorities?	This proposal contributes to the corporate priority of setting a balanced budget, while prioritising services to children and families in line with our Wellbeing Model.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Schools, service users and partners will be directly impacted by different parts of this proposal. Work will need to be undertaken to ensure that risk and vulnerability are prioritised and careful consideration is needed to ensure that we continue to deliver at least the statutory minimum requirement in relation to vulnerable NEET adolescents particularly. The service will continue to try to identify and prioritise access to services for those families that are most vulnerable. The reduction in contribution to the Safeguarding Partnership will result in an impact on partners who will need to contribute more to offset the potential loss of support needed across the partnership.

4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The My Futures team works closely with the YOT, SENDIS and other social care teams in relation to vulnerable young people who are either vulnerable to or are NEET. It could have a negative impact on higher level threshold services. School Improvement and admissions teams are not shared with other departments. The Safeguarding Partnership is a joint partnership between the Council, Police and Health as the statutory partners and has close links with schools.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets, which include information about young people's primary needs, the type of referrals received by the team and from whom and tracking of young people who are NEET or at risk of being NEET. The team works closely with other services including schools, YOT, social care to ensure that young people are provided with appropriate advice, support and guidance in order to access the right course, apprenticeship of work opportunities with training. The savings will impact on the ability of the team to work as effectively with a range of young people.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		*	*		Vulnerable young people at risk of or who are NEET in need of services will be impacted by these changes as there will be fewer resources for this cohort this will impact specifically on the age group 15-19 years.

Disability		*	*		The proposals relate to NEET young people of whom a significant minority are children with SEND. We will try to mitigate this risk by prioritising this group and reducing service aimed at earlier intervention across the cohort.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race				*	
Religion/ belief				*	
Sex (Gender)		*	*		There are more male young people in the high risk NEET groups We will try to mitigate this risk by prioritising this group and reducing service aimed at earlier intervention across the cohort.
Sexual orientation				*	
Socio-economic status		*	*		This work tends to be with the most vulnerable socio-economic groups and therefore the reduction in services will be felt disproportionately by them.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
There will be a negative impact on provision for young people who are at risk of or who are NEET	**Y**	**We will prioritise those young people who are most vulnerable or at risk for other reasons e.g. SEND, high risk male NEET**	**On Going**	**Existing resources**	**Keith Shipman**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		*	

Assessment completed by	Jane McSherry, Assistant Director of Education
Improvement action plan signed off by Director/ Head of Service	

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
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What are the proposals being assessed?	Proposed budget savings CSF 2018-11
Which Department/ Division has the responsibility for this?	CSF/Education

Stage 1: Overview	
Name and job title of lead officer	Jane McSherry (AD Education)
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The LA will delete posts within the SENDIS 0-25 Early Intervention Team which includes Short Breaks assessment and delivery. This deletion will require a restructuring of the team and will lead to a reduction in the offer to vulnerable children with SEND and their families. The service works with children and young people from 0-25 to provide early intervention and support regarding the SEN and disabilities pathways and these CYP predominantly have complex SEND. This will impact on approximately 130 children and families. This will allow us to secure £47,000 savings. We are proposing to implement an online EHCP Hub which will provide a better standard of service to customers and reduce the unnecessary spend on printing and postage. This will secure savings of £25,000.
2. How does this contribute to the council's corporate priorities?	This proposal contributes to the corporate priority of setting a balanced budget, while prioritising services to children and families in line with our Wellbeing Model.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The SENDIS 0-25 Early Intervention Team works with resident families who have children born with complex SEND and those entering the borough from elsewhere in the UK and oversees who are of statutory school age where it is clear that the Child has SEND but no assessment in place. They liaise with schools and other professionals to ensure that children are able to access appropriate education. They also assess families for short breaks and support health professional with their complex pathway planning. Reducing this team will impact on service users as we will need to prioritise those at highest risk of not engaging with education who are of statutory school. This is likely to impact on younger children and their families. It is likely this will also impact on other services both internal to the Council e.g. children's social care, Early Years' service family support as well as external partners e.g. health as there will be less early help resource to offset risk. This constitutes a 30-50% reduction in the service.

	The EHCP Hub will provide a web-based hub which will be a more flexible and accessible resource for parent/carers and for other services both internal and external to the Council. It will also make it easier for all relevant stakeholders to monitor and track the progress of the assessment and improve timeliness.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The SENDIS 0-25 Early Intervention Team works closely with colleagues in health and social care. Delivery of services for children with SEND is a shared council function. Overall responsibility for delivery remains with the department.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have substantial data sets which include information about children's primary needs, the type of referrals received by the team and from whom. Referrals can come from a variety of sources including: health professionals (including health visitors and pediatricians); schools, social workers and the families themselves. The team work with families who have arrived from oversees and who need support with translators etc. Assessments for short breaks would include information to identify need, vulnerability and risk, this assessment and monitoring of enables the team to ensure the package of support provided meets needs and represents the best use of Council resources. The savings will impact on the ability of the team to work as effectively with a range of families.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		*	*		Vulnerable children and families in need of services will be impacted by these changes, as there will be fewer resources for this group of children and their parents. The service covers children and young people with SEND from 0-25
Disability		*	*		The proposals relate to children with SEND. This will impact on children and their families and partners.
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race					
Religion/ belief				*	
Sex (Gender)		*	*		There are more male that female children and young people presenting with SEND
Sexual orientation					
Socio-economic status		*	*		This work tends to be with the most vulnerable socio-economic groups and therefore the reduction in services will be felt disproportionately by them.

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
There will be a negative impact on provision for children and young people with SEND and their families	**Y**	**The impact will be reduced by targeting those most at risk of missing their statutory education**	**Ongoing**	**Existing resources**	**Karla Finikin**	**Y**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
		*	

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Jane McSherry, Assistant Director of Education
Improvement action plan signed off by Director/ Head of Service	

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Proposed budget savings CSF2018-13: Review of CSF Admin Structure
Which Department/ Division has the responsibility for this?	CSF/Children's Social Care/Youth Inclusion and Education.

Stage 1: Overview	
Name and job title of lead officer	Rachael Wardell, Paul Angeli Jane McSherry.
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	With changes to the structure of the department, the implementation of MOSAIC and a focus on reduced education and social care core functions we will redesign our administrative workforce across what will be a smaller directorate while dealing with increasing demands. CSF has various business, finance and performance support functions across a number of numerous services. These functions are carried out either by dedicated business support staff or are integrated within other roles. The aim of the review is to understand whether the current set up is efficient, cost effective and delivers good value to the department. The review will cover all roles which carry out functions related to business, finance and performance support . The work will cover roles across both divisions (Education and Social Care & Youth Inclusion), although it is recognised that a review has recently been completed for SC&YI. The findings and outcomes of this review will be taken into consideration, so as not to duplicate this work. However, further changes are not ruled out. A reduction of 10-12 posts from a total of 65FTE is anticipated.
2. How does this contribute to the council's corporate priorities?	• This proposal contributes to the councils' corporate priorities in ensuring we manage our resources to provide value for money, high standards of governance, financial and budget management. • The desired outcomes of the review are: • More joined up provision of performance information and data across the department • Reduced duplication of work • Increased efficiency and effectiveness of the business, finance and performance support functions

	across CSF services • Release capacity for other work or deliver financial savings
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Less resource and flexibility to meet increasing demands may lead to a risk of decreased timeliness of response to customers and potentially reduced support for vulnerable children and young people, although the primary impact is likely to be (initially) on colleagues and partners who will have to undertake more 'self service' and may experience reduced response times.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	This is wholly a Children's Schools and Families workforce.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We know the staff in this cohort likely to be affected by any reduction in the number and distribution of business support roles. Understanding of equality and diversity impact of any proposal to reduce the numbers of posts forms part of the HR process of service redesign.

We have not been able to identify and quantify the predicted impact on colleagues, external stakeholders and service users, as the underlying principle is to seek to be more efficiently organised, rather than to reduce any service provision, but an evaluation of impact forms part of the review.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		
	Yes	No	Yes	No	
Age				*	
Disability				*	
Gender Reassignment				*	
Marriage and Civil Partnership				*	
Pregnancy and Maternity				*	
Race				*	
Religion/ belief				*	
Sex (Gender)				*	
Sexual orientation				*	
Socio-economic status				*	

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
*			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Rachael Wardell, Director CSF	**Signature:**	**Date: 23-11-2018**
Improvement action plan signed off by Director/ Head of Service	Rachael Wardell, Director CSF	**Signature:**	**Date: 23-11-2018**

Equality Analysis – ENV1819 - 01 – Leisure & Culture Development Team

Guidance for carrying out Equality Impact Assessments is available on the intranet.

What are the proposals being assessed?	To make Leisure Centre Contract Savings at the time of the opening of the new Morden Leisure Centre (MLC) and the demise of the existing Morden Park Pools (MPP) by way of a Change to the existing Leisure Management Contract with Greenwich Leisure Limited (GLL) (ENV1819 – 01)
Which Department/Division has the responsibility for this?	Environment & Regeneration – Public Space Division
Stage 1: Overview	
Name and job title of lead officer	Christine Parsloe, Leisure & Culture Development Manager
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Outcome: To achieve leisure management contract savings Aims: To open a new MLC, close & demolition the existing MPP Proposals: 1) The new Morden Leisure Centre (MLC) is due to be completed in the Autumn of 2018 and this will result in a Deed of Variation with the contractors Greenwich Leisure Limited (GLL) to discontinue operation of the existing Morden Park Pools (MPP) and move to operate the new MLC. In doing this we expect to be making savings on the contract sum.
2. How does this contribute to the council's corporate priorities?	Delivers savings and transformation of services
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Customers, community organisations, schools, other departments, stakeholders etc. as we open a new leisure centre and close the existing MPP. The main terms of the contract pricing structures, membership, etc. will not change, rather a new suite of leisure opportunities will be provided generating a saving on the leisure management contract.
4. Is the responsibility shared with another department, authority or organisation? If so: Who are the partners and who has overall responsibility?	No

Stage2: Collecting evidence/data

6. What evidence have you considered as part of this assessment? List the data, results of consultation, research and other sources of evidence reviewed to determine impact on the protected characteristics (equality groups). Where there are gaps in data you may have to address this by including it in the action plan.

Type of evidence
The range and type of facilities to be included in the new MLC has already been fully considered and consulted upon with the community through formal and informal consultations, planning applications and will continue to occur as the plans and designs implemented. This will include local interest groups, disability organisations, schools, those from ethnic minority communities and faith groups.

Stage 3: Assessing impact and analysis

7. From the evidence you have considered, what areas of concern have you identified regarding the potential negative impact on one or more protected characteristics (equality groups)?

Equality group	Positive impact		Potential negative impact		Reason
	Yes	No	Yes	No	
Age	√			√	The facility mix for sports & leisure opportunities will be increased for all. The service contract will remain as is in making this saving.
Disability	√			√	
Gender Reassignment	√			√	
Marriage and Civil Partnership	√			√	
Pregnancy and Maternity	√			√	
Race	√			√	
Religion/ belief	√			√	
Sex	√			√	
Sexual orientation	√			√	
Socio-economic status	√			√	

8. How do you plan to mitigate the negative impact that has been identified above? Also describe how you will promote equality through the policy, strategy, procedure, function or service?

No negative impact identified above.

Stage4: Decision

9. Decision – Please indicate which of the following statements best describe the outcome of the EIA (✓ tick one box only)

Outcome 1 - √	**Outcome 2 -**	**Outcome 3**	**Outcome 4**
Outcome 1 – No change required: when the EIA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed.		Your analysis demonstrates that the proposals are robust and the evidence shows no potential for discrimination and that you have taken all appropriate opportunities to advance equality and foster good relations between groups. If this conclusion is reached, remember to document the reasons for this and the information that you used to make this decision.	
Outcome 2 – Adjustments to remove negative impact identified by the EIA or to better promote equality. List the actions you propose to take to address this in the Action Plan.		This involves taking steps to remove barriers or to better advance equality. It can mean introducing measures to mitigate the potential negative effect. Remember that it is lawful under the Equality Act to treat people differently in some circumstances, for example taking positive action or putting in place single-sex provision where there is a need for it. It is both lawful and a requirement of the general equality duty to consider if there is a need to treat disabled people differently, including more favorable treatment where necessary.	
Outcome 3 – Continue with proposals despite having identified some potential for negative impact or missed opportunities to promote equality. In this case, the justification needs to be included in the EA and should be in line with the PSED to have 'due regard'. List the actions you propose to take to address this in the Action Plan. (You are advised to seek Legal Advice)		This means a recommendation to adopt your proposals, despite any negative effect or missed opportunities to advance equality, provided you have satisfied yourself that it does not unlawfully discriminate. In cases where you believe discrimination is not unlawful because it is objectively justified, it is particularly important that you record what the objective justification is for continuing with your proposals, and how you reached this decision. This is very important to show that you have paid 'due regard' to the Public Sector Equality Duty	

Outcome 4 – Stop and rethink: when your EA shows actual or potential unlawful discrimination.	If a policy shows unlawful discrimination it **must** be removed or changed.
Note: If your EA is assessed as **outcome 3**, explain your justification with full reasoning to continue with your proposals?	Include information as to why you suggest going ahead with your proposals despite negative impact being identified.

Stage 5: Making adjustments – Improvement Action Pan

10. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the assessment and analysis and outlines the action to be taken to mitigate the potential negative impact identified.

Risks or improvements identified in the EIA	Action required	Performance measure & target(s)	By when	Uses existing or additional resources?	Lead Officer	Progress
No negative impacts identified,						

Have you incorporated these actions into your divisional service plan or team plan? Please give details of where they have been included.

Included as part in the existing Leisure & Culture Development Team's transformation and service plans.

11. How will you share lessons learnt from this assessment with stakeholders and other council departments?

We will share any learning from this with others through one to one support, advice and guidance as appropriate and time allows.

Stage 6: Monitoring **The full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.**
How will you monitor the impact of the proposal once it has been implemented? Monitoring will be done through the leisure management contract monitoring processes within existing business practices **How often will you do this?** Quarterly through formal meetings, otherwise through day to day working and business operations.

Stage: 7 Reporting outcomes (Completed assessments must be attached to committee reports and a summary of the key findings included in the relevant section with in them)	
Summary of the assessment ➢ What are the key impacts – both negative and positive? ➢ What course of action are you advising as a result of this assessment? ➢ Are there any particular groups affected more than others? Do you suggest to proceeding with your proposals although a negative impact has been identified?	**Summary of the key findings:** None.

Stage 8: Sign off by Head of Service			
Assessment completed by: Name/Job Title	Christine Parsloe Leisure & Culture Development Manager	**Signature:** C A Parsloe	**Date:** **24 Sept 2018**
Improvement action plan signed off by Head of Service	Anita Cacchioli, Head of Public Space Division	**Signature:** A Cacchioli	**Date:** **24 Sept 2018**
Department	**Environment & Regeneration**		

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	A review of the Permits section of Parking services and a review of the staffing levels of this team as a result of process efficiencies to be delivered following the procurement of a new permits system, capable of delivering online self service for customers (ENV1819 - 02)
Which Department/ Division has the responsibility for this?	Parking Services, Environment and Regeneration

Stage 1: Overview	
Name and job title of lead officer	Ben Stephens, Head of Parking
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The Permits team are responsible for fulfilling customer orders for permits for use within the Controlled Parking Zones (CPZ), Providing appropriate staff with permits for use in the course of their statutory duties, Co-ordinating permit requests and orders with the implementation of new CPZ's, and processing requests for bay suspensions and dispensations for utility, domestic removal and filming requests The Permits team consists of 1 Supervisor (ME11), and 6.5 admin assistants (ME7). There are also 2 additional admin assistants (ME7) on fixed term contracts, currently employed in the permits team, as a result of increased customer contact resulting from the introduction of the Diesel Levy. Parking Services are currently in the process of procuring a new permits system as the current system supplied by Imperial Civil Enforcement Solutions (Imperial) has been in place for some years now, and is a dated system by todays standards. The new system to be introduced will enable Parking Services to provide a virtual permit solution to customers, as well as allowing customers to self serve on a number of matters e.g. advising of a change in vehicle, thus reducing the number of activities currently undertaken by staff, and therefore reducing the amount of customer contact currently experienced by this team. It is parking services intention to reduce the staffing levels of the permits team by 2 FTE administrative assistant roles from 1 April 2019, and then following the introduction of the new permits system, a further 2

	FTE administrative roles from 1 April 2020.
2. How does this contribute to the council's corporate priorities?	The introduction of a new permits system supports the councils priorities in encouraging a customer channel shift away from customer contact to online self service. The reduction in staffing levels would support the councils financial priorities and ensuring that we are receiving value for money and being as cost efficient as we can.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	As the new permits solution will enhance the service for our customers while reducing the need for them to contact us, this proposal would only affect staff.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The responsibility for this is not shared with other departments or teams.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

It is unlikely that this proposal would impact on any of the equality groups.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies		Tick which applies		Reason Briefly explain what positive or negative impact has been identified
	Positive impact		Potential negative impact		
	Yes	No	Yes	No	
Age					
Disability					
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					
Sexual orientation					
Socio-economic status					

7. If you have identified a negative impact, how do you plan to mitigate it?

Any reduction in staffing levels will involve statutory consultation with the staff affected with support and guidance provided to them by HR and their Unions throughout the process.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

X	**Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
	Outcome 2 – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 1 Assessment
The Council are currently seeking to channel shift customer contact to more online, self service avenues. The introduction of a new permits system will present an opportunity for the parking services team to provide customers with a faster, self-serve service, resulting in a reduction in the amount of customer contact to the permits team. A reduction in the amount of customer contact to the permits team will of necessity result in a review of the staffing levels required for this area, with a proposed reduction of 2 Administrative Assistant (ME7) roles from 1 April 2019, and a further reduction of an additional 2 Administrative Assistant (ME7) roles from 1 April 2020.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	A review of the charges levied by Parking Services for on street pay and display charges, off street pay and display charges and permit charges to help deliver key strategic council priorities including public health, air quality and sustainable transportation. (ENV1819 -03)
Which Department/ Division has the responsibility for this?	Parking Services, Environment and Regeneration

Stage 1: Overview	
Name and job title of lead officer	Ben Stephens, Head of Parking
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	There has been no increase in the cost of parking, either on-street, off-street or in relation to the cost of the varying types of permits issued by Parking Services for 9 years. As a result of the high demand for parking in town centres in conjunction with the high levels of air pollution, it has become imperative that a review is undertaken of the charges levied with the aim of rationalising the cost of parking, while also encouraging residents and visitors to use more sustainable forms of transport.
2. How does this contribute to the council's corporate priorities?	While there are an extensive list of benefits accrued from parking management, and how they contribute towards the councils corporate priorities, the external nature of these benefits ensures that they are diffuse in impact and difficult to quantify, however, the main benefits which parking schemes actively seek to deliver, and which have been identified through research are: 1. Reduced congestion 2. Improved road safety 3. Improved air quality 4. Ensurance of good access and accessibility 5. Promotion of the local economy 6. Maximisation of the productive use of land resource 7. Promotion of health and wellbeing through travel choice 8. Providing funding for parking and wider transport scheme improvements
3. Who will be affected by this proposal? For example who are	Any increase in the cost of parking, either in terms of pay and display parking or permit parking, will impact on residents of the borough, businesses within the borough, and visitors to the borough, across all socio-

the external/internal customers, communities, partners, stakeholders, the workforce etc.	economic groups.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The management of on and off street parking is the responsibility of Parking Services, and actions taken by Parking services contribute towards the Air Quality Action Plan (AQAP) which is the responsibility of the Regulatory Service Partnership team.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

The Council acknowledges that convenient parking should be provided for residents to enable them to park near their homes, where practicable, and parking provision is also necessary to meet the needs of people who have no other alternative other than to use their vehicle e.g. individuals with disabilities. There are also areas where public transport is either not available or no very convenient.

Local authorities are not permitted to use parking charges solely to raise income. When setting charges, a local authority must instead focus on how the charges will contribute to delivering the Council's traffic management and key sustainability objectives.

The November Cabinet report set out in detail the traffic management approach to parking charges, specifically the contribution appropriate tariffs can make in contributing to the objectives set out in the Public Health Agenda, and Air Quality Action Plan. Mayor Transport Plan and the council Local Implementation Plan.

Building on these principles, a number of key factors were considered in the review of on and off-street parking and permits, which included:

(i) Ease of access to public transport:
(ii) Air Quality hotspots
(iii) Areas of high congestion
(iv) Enforcement requirements

The review also considered the relative cost of owning a car and also transport cost. A recent RAC survey set out cost of car ownership. There are a number of instances where charges have been reduced or removed totally, particularly in respect of Electric Vehicles, and evening parking when demand in some car parks is low. But in the context of owning and running a car in London, the challenges facing London and benchmarking data the charges remain affordable, even if some charges in isolation may been seen as significant.

There is a significant difference in transport infrastructure and accessibility dependent on where a resident lives within the Borough. For example Wimbledon is considered to be better served, Colliers Wood and South Wimbledon, which in turn is better served than for example in Mitcham. This is presented in the form of a 'Public Transport Accessibility Levels' as set out by TfL and formed part of the review.

It is therefore easier in principle for a person living in Wimbledon Town Centre to use alternative sustainable or active modes of transport, compared to residents in the east of the borough, where the 'need' to own a car could be argued as being higher. It is of course accepted that in some cases cars are needed for special purposes, particularly those with physical mobility issues. But in a high number of cases using public transport or active transport is a very viable option, which drivers 'choose' not to use.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Disability	X		X		Positive Impact : Improved air quality will positively impact on all equality groups. Negative Impact : Any increase in parking charges has the potential to negatively impact on those with a disability as they are more likely to have less income. However, any increase in charges is offset by eligibility for a Blue Badge which provides free on street parking at many locations, including on single and double yellow lines.
Gender Reassignment	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Marriage and Civil Partnership	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Pregnancy and Maternity	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Race	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Religion/ belief	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Sex (Gender)	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Sexual orientation	X				Positive Impact : Improved air quality will positively impact on all equality groups.
Socio-economic status	X		X		Positive Impact : Improved air quality will positively impact on all equality groups. Negative Impact : Any increase in parking charges has the potential to negatively impact on those on lower incomes, however in mitigation, it is recognised that the poorer areas of the borough do not have as good transport links as the more affluent areas of the borough, and in recognition of this, any increases in these areas would be less.

7. If you have identified a negative impact, how do you plan to mitigate it?

Disability - Negative Impact - Blue Badge holders are allowed to park for free on-street, providing their blue badge is on display, and resident blue badge holders are issued with a free annual visitors permit for use by their visitors and carers.

Socio-economic status – Negative Impact - There is a significant difference in transport infrastructure and accessibility dependent on where a resident lives within the Borough. For example Wimbledon is considered to be better served than, Colliers Wood and South Wimbledon, which in turn is better served than for example in Mitcham. As such it is easier in principle for a person living in areas of very good transport to use alternative sustainable modes of transport, compared to other areas where accessibility is less good, where the 'need' to own a car could be argued as being higher. The cost of a first permit issued to an address in Mitcham, Haydons Road or Raynes Park would range from £70 - £90 (excluding the diesel levy), compared to the cost of a first permit issued to an address in Wimbledon would range from £110 - £150 (excluding the Diesel levy). The current charge for the first permit in a household is £65.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
X	**Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact
This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Economic – Disability	Any rise in permit prices is mitigated by the Blue Badge scheme for disabled persons, providing them with free parking at on street locations. Blue Badge holders also receive 1 free annual visitors permit for their guests and carers to use.	Customer feedback	01/10/2018	**Existing**	**Ben Stephens**	
Economic – Socio Economic	While there will be an increase in the cost of permits issued to residents in the less advantaged areas of the borough, those residents in the poorest areas will pay less for their permits in comparison to residents in more advantaged areas with better transport links	Customer Feedback	**01/04/2018**	**Existing**	**Ben Stephens**	

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome **2** Assessment
• There has been no increase in the cost of parking, either on or off street in the last 9 years. As a result of recent government findings relating to air pollution and the negative impact air pollution has to life expectancy, it is incumbent upon enforcing authorities to take steps to encourage residents and businesses to seek to utilize more sustainable forms of transport, and to encourage residents and businesses to choose less polluting • Enforcing authorities have very few means by which they can influence a residents choice of travel, however, the pricing structure of permits is one means by which an authority can influence this. • While an increase in the cost of on and off street parking and permits could impact economically on the most disadvantaged residents within the borough, the proposal to band the cost of permits, offering a lower price to those living in the most disadvantaged areas of the borough, would mitigate an impact on the required price increase to these residents.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Parking Services are proposing a review of the number of Pay and Display machines on and off street, with the aim of rationalising their numbers and locations and becoming a cash free borough over the next 4 years. (ENV1819 – 04)
Which Department/ Division has the responsibility for this?	Parking Services, Environment and Regeneration

Stage 1: Overview	
Name and job title of lead officer	Ben Stephens, Head of Parking
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Parking Services are reviewing the cost and operation of on and off street pay and display machines with the intention of becoming a cashless parking borough over the next 4 years. The removal of these machines will planned and considered so that any proposed machine removals can be managed and monitored so as not to impact on the current provision of pay and display parking. Currently, there are 426 pay and display machines in operation serving the on and off street pay and display locations throughout the borough. Pay and display tickets can only be purchased using coins as these machines do not accept notes or debit/credit cards, and the machines do not give change. The initial phase of this removal will aim to remove between 50 – 70 machines, all of which are machines which are under used, or in locations where it can be rationalised for one machine to serve a number of pay and display locations within its proximity, as opposed to the current practise of one machine per location. In these instances, and in the off street parking locations, the rationalisation of this may involve relocating machines so they are accessible for all customers and equidistant to the locations they serve. Many of the pay by phone providers work in conjunction with local businesses and retailers, facilitating payment for on street parking via services such as allpay, for those motorists who do not have a smartphone or mobile phone, or would simply prefer to pay cash. Retailers benefit from this as they receive a percentage of the parking fee paid, and this in turn feeds into the local economy.

	There are a number of costs involved in maintaining the on and off street pay and display machines including and not necessarily limited to their maintenance, ensuring they are stocked with pay and display tickets, ordering new chips for them following any change in tariffs, accidental damage, deliberate damage by those seeking to steal the money inside the machines, staff costs, and the costs levied by the company that undertake the regular collections from each of these machines. In addition, there are further costs involved in installing new machines during the implementation of new Controlled Parking Zones (CPZ). A review of machine usage has identified a number of locations where the weekly cash collection is less than £25 per week. Currently the London Borough of Merton operate a pay by phone service, allowing customers to pay for on and off street parking using an app on a smart phone, or by calling the service provider direct from their mobile phone and using their automated phone service. A recent review of the usage of this service has shown that there is currently a 50/50 split between customers using this service, and those still using coins to purchase time, with the average value of the pay by phone transactions being significantly higher than the average cash transaction. There have been a number of thefts and attempted thefts from some existing machines, and as a result of this a number of pay and display machines have been closed down, and site notices erected at these locations advising that these locations are now pay by phone only. These signs direct those seeking to pay with coins to alternative locations. While there will be increased transactional costs as a result of increased usage of the pay by phone service, this will be offset by the savings identified above.
2. How does this contribute to the council's corporate priorities?	The removal of pay and display machines will contribute to the councils corporate priorities in that it will reduce the authorities expenditure.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The removal of Pay and Display machines will effect residents and visitors to the borough. In addition, the removal of the pay and display machines will impact on staff in that with less pay and display machines in operation, there will be less need for officers to maintain and service them.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	While the maintenance and upkeep of the pay and display machines falls within the remit of parking services, when planning CPZs, the Traffic and Highways team identify locations where pay and display bays will be installed.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Research undertaken by Deloittes shows that 82% of the UK population currently own a smartphone, and 95% of UK households own a mobile phone.

It is accepted that there is still a proportion of the population that do not own mobile phones, and as the research undertaken by Deloittes shows, smart phone ownership being approx. 54% of the population aged between 54 and 65.

The removal of pay and display machines and the provision of pay and display parking is highly dependent on customers being able to book and pay for their parking either using a smart phone app, or by having the ability to call the service provider from the parking location. For on street locations, most pay by phone service provider's work in conjunction with small, local businesses, allowing those without smartphones or mobile phones to pay for their parking at a local shop, similar to the all pay system.

As a result of thefts in 2017/18, a number of on street pay and display machines were closed, and signage at these locations advises customers that they can only use pay by phone parking at these locations, and if they are seeking to make coin payments, they are directed to alternate pay and display locations. To date, Parking Services have not received any complaints about this.

With this in mind, Parking Services are in the process of reviewing the provision and location of pay and display machines in our car parks, with it being expected a series of works will be undertaken to relocate machines while we seek Disabled Parking Accreditation.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Disability				X	Blue Badge holders are already entitled to parking benefits at on street locations and are not required to pay for their parking
Gender Reassignment			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Marriage and Civil Partnership			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Pregnancy and Maternity			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Race			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Religion/ belief			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Sex (Gender)			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Sexual orientation			X		Those aged 54 and over are less likely to own a smartphone or mobile phone
Socio-economic status			X		Those aged 54 and over are less likely to own a smartphone or mobile phone

7. If you have identified a negative impact, how do you plan to mitigate it?

As identified above, the removal of on and off street pay and display machines is most likely to impact on those aged 54 and over, as they are least likely to own a smart phone or a mobile phone. The majority of pay by phone suppliers recognise this, and they work in partnership with local businesses and other suppliers, such as all pay, allowing customers without access to a mobile phone to enter a local business and pay with cash for their parking there.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

☐ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Lack of smartphone/mobile phone ownership	Provision for cash payments with local retailers/businesses	Customer feedback	**01/04/2020**	**Exiting**	**Ben Stephens**	

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
• The continued maintenance and use of on street pay and display machines is becoming less cost effective as mobile solutions are being used more frequently and customer uptake shows that there is a growing trend for customers wanting to pay for their parking via a mobile device, instead of paying by cash. • On street machines require regular cash collections and there has been an increase in the number of thefts and attempted thefts from pay and display machines across London. • Provision can be made for those without mobile phones or smart phones to pay for their parking at local retailers and shops

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Add name/ job title	**Signature:**	**Date:**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet
Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	Increased council income from highways advertising on bus stops and council information panels (ENV1819 – 05)
Which Department/ Division has the responsibility for this?	E&R / Sustainable Communities

Stage 1: Overview	
Name and job title of lead officer	Tara Butler, Deputy FutureMerton manager
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Proposing to re-procure the highways advertising contract during 2019-20 and raise income to the council. Highways advertising takes place on bus stops and council information panels across Merton (not Transport for London roads). The new contract will also be responsible for maintaining the bus stops and advertising panels, reducing the council's outgoings and liabilities for street furniture.
2. How does this contribute to the council's corporate priorities?	Increased resilience by increasing income and reducing the council's liabilities for maintaining bus stops on the council's public highway
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Proposal will benefit the council by increasing income from corporate advertising and reducing the council's liabilities
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	No

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Considered the impact of re-procuring the highways advertising contract on people with protected characteristics. Conclusion that there will be no harm and that the end result (having a new highways advertising contract) is likely to be a benefit from (a) advertising opportunities for council services that are focused on helping people with protected characteristics (e.g. council initiatives directed towards older or school aged people); these advertising opportunities would be widely visible in the public realm. (b) increased income to the council making the council more financially resilient.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	X				Increased visibility of council services via highways advertising including those that benefit people of different ages (e.g. social services for older people; “start-well”)
Disability	X				Increased visibility of council services via highways advertising including those that benefit people with disabilities
Gender Reassignment	X				Increased visibility of council services via highways advertising including those that promote cohesive communities or target hate crime (e.g. Safer Merton services)
Marriage and Civil Partnership					Neutral / no positives or negatives
Pregnancy and Maternity	X				Increased visibility of council services via highways advertising that benefit people that are pregnant (e.g. services that have been commissioned by the council’s Public Health team)
Race	X				Increased visibility of council services via highways advertising that benefit

					people of different heritage (e.g. services that target the improvement of health issues which are more prevalent in people with particular heritage)
Religion/ belief	X				Increased visibility of council services via highways advertising including those that promote cohesive communities or target hate crime (e.g. Safer Merton services)
Sex (Gender)	X				Increased visibility of council services via highways advertising including those that promote cohesive communities or target hate crime (e.g. Safer Merton services)
Sexual orientation	X				Increased visibility of council services via highways advertising including those that promote cohesive communities or target hate crime (e.g. Safer Merton services)
Socio-economic status					Increased visibility of council services via highways advertising including those that promote cohesive communities or target hate crime (e.g. Safer Merton services)

APPENDIX 9 (a)

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

OUTCOME 1)	**OUTCOME 2**	**OUTCOME 3**	**OUTCOME 4**
X			

Stage 5: Sign off by Director/ Head of Service			
Assessment completed by	Tara Butler / Deputy FutureMerton manager	**Signature:**	**Date: 21 November 2018**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet Text in blue is intended to provide guidance – you can delete this from your final version.

What are the proposals being assessed?	CH 35/36 Housing Related Support Services Review and Procurement
Which Department/ Division has the responsibility for this?	Community & Housing

Stage 1: Overview	
Name and job title of lead officer	Steve Langley, Head of Housing
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Housing Related Support (HRS) is a non-statutory support service for vulnerable Adults and young people. HRS superseded the previous Supporting People (SP) funding regime, introduced in 2003. There are 16 HRS funded organisations schemes operating within Merton, providing services through around 32 contracts. These services are a range of accommodation based and floating support type services. The 2018/19 HRS budget is £1.86m. The HRS programme funds support services for vulnerable adults and young people to enable them to sustain their tenancies and maximise independence. Services also include assistance in finding and settling into a new home following a crisis such as homelessness or a period in hospital or residential care. The current contracts have evolved from the original SP funding regime without recommissioning or effective performance evaluation. This situation combined with recent legislative and regulatory changes mean that contracts are not in line with best practice. The current operating system cannot be demonstrated to be meeting the relevant regulatory and statutory guidance, needs of service users, or delivering value for money. The purpose of the project is to review existing service provision, identify gaps and utilise the data to inform a new set of contract specifications to support the re-procurement of services aligned with the Council's Community and Housing strategic priorities. The objective of the project is to deliver successful procurement of contracted services that demonstrate effective outcomes for service

	users, effective performance management framework and value for money services.
2. How does this contribute to the council's corporate priorities?	The HRS project proposals will support the Councils' performance of its statutory duties under the Homelessness Reduction Act 2017 (HRA 2017) and Care Act 2014 by maximising the resources to prevent homelessness and demand on statutory services, by enabling vulnerable people to sustain their accommodation. The proposals also contribute to the corporate priority of reviewing its processes to improve them and provide value for money.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The Housing Related Support (HRS) programme funds support services for vulnerable adults and young people to enable them to remain living independently in their own homes. Services also include assistance in finding and settling into a new home following a crisis such as homelessness or a period in hospital or residential care. Stakeholders in include current and potential future service providers. There are currently 16 providers delivering services through multiple contracts for over 1000 service users. The providers relevant to delivery of services to the groups affected by the proposals) are: • Ability HA • Anchor Trust • Central & Cecil • Comfort Care • Evolve HA • Hanover HA • Hestia Hsg & Support • Housing for Women • Clarion Housing • Kingston Churches HA • Spear • Metropolitan Care • Millat Asian HA • LBM Supported Living • Sanctuary Housing • Wimbledon YMCA Current and potential future users of HRS funded services are: • People with mental health needs (accommodation and non-accommodation)

	• Older people (accommodation and alarm call teleservices) • Single homeless • Young people at risk • People with learning disabilities • Frail elderly • People with physical disabilities • Teenage parents • Women at risk of domestic violence • Generic floating support The benefits to service users will be that services will be targeted at those that HRS was intended to support, with a focus on clearer objectives, outcomes and transforming lives. The new programme will ensure that services do not become 'silted up' by limited move-on which restricts access to those potential service users that need services but are unable to access them.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Stakeholders may include other services including Adult Social Care, Children Schools and Families Directorate, Care Looked After Team and external voluntary organisations who may refer potential service users to the service.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

BAME Groups The HRS service is available to adults of all ages, gender, race, belief, disability and sexuality. In terms of ethnicity it would be expected that the profile of service users broadly reflects Merton's ethnicity data profile. Current service user equalities and diversity reporting has not been required under existing contracts, however under the new contract procurement arrangements providers will report on equalities data as part of the wider service performance monitoring. This will enable the Council to assess the data, identify any trends and take the appropriate steps to ensure that the services are meeting the needs of the population. Ensuring that reasons

for any under or over-representation of specific groups in the services is understood and is subject to further evaluation will be key to ensuring the service focuses on delivering effective outcomes for service users, and maintains a strategic focus on emerging trends in Merton.

Older Persons

Merton has 22,350 people aged 65-84 years old (10.7% of the total population). By 2025 this is predicted to increase to 24,350 (11.2%). An estimated 3,650 people aged 85 years and over (1.7% of the total population) currently live in Merton. By 2025 this is predicted to increase to almost 3,950 (1.8%).

Of the current beneficiaries of the service approximately 65% are in older person's services category (655). These services represent around 9% of the £1.85m HRS budget, reflecting the very limited need for HRS services from this group. This low level of service demand reflects the fact that all of these service users are residents of housing associations, many of which provide services for their older residents as part of their own landlord functions, through housing management, tenancy sustainment and support services. HRS funds elements of the Associations' warden alarm call systems and warden or visiting support worker costs.

In addition the Associations are able to claim IHM funding for enhanced housing management services for vulnerable or older persons. It is therefore not expected that these demographic projections will have a significant impact on the need for HRS service because the needs of older persons are met through existing services. More significant needs are likely to arise in the areas of health and adult social care needs of an increasing older population. Were the need for HRS older persons to increase in future the service delivery and funding model would be reviewed and appropriate changes made to ensure future needs were met.

Young People

Young people and teenage parents are the other age related service category groups potentially affected by the proposed changes. There are 34 accommodation units funded in these categories. Although 28 accommodation units are designated as young persons' accommodation other HRS services have young people within their service user profiles. 6 units of accommodation are designated as the teenage parents' scheme.

The funding for the 34 units of accommodation represents just over 6% of the HRS budget. This low level of service demand reflects the fact that these service users are residents of housing associations, which provide services for their residents as part of their own landlord functions. Associations are able to claim IHM funding from the Council for enhanced housing management services for people with support needs. It is not expected that the proposed changes will adversely affect this service user group.

Since 2006 there has been a decline in under 18's conceptions from 41.1 per 1000 to 16.5 per 1000 in 2016. This is lower than

London (17.1) and England (18.8). Merton has the 16th lowest numbers of under 18 conceptions in London with 49 teenage pregnancies. Were the need for HRS support specifically for young people and teenage parents to increase in future, the service delivery and funding model would be reviewed and appropriate changes made to ensure future needs were met.

Women

On of the services subject to procurement is the Women's Refuge. Research data shows that:

- 1 in 20 people in Merton are affected by DVA
- The annual direction of DVA reports to the police is upwards with 1483 reports made during 2015/16
- The majority of victims are White European with incidents mainly occurring within the family home
- Merton sees peak referrals during the months of August and December with Saturdays being the peak reporting day
- Above 20% of all DVA calls has been influenced by the presence of alcohol and 2% of all calls feature drugs as an influencing factor
- The victim split in Merton varies from the national average with some 35% of reports coming from male victims

HRS review proposals will ensure that there is no reduction in bedspace provision and will seek to increase this where possible, depending of successful tender. The Council will continue to follow best practice and guidance eg London Councils' briefings and the Ministry for Housing Communities and Local Government (MHCLG) Review guidance.

Consultation

Consultation was carried out with Providers through market engagement events, one to one meetings and circulation of outline proposals and updates. Through the consultation and engagement activities Providers were able to set out their issues, perspectives, aspirations, and suggestions for improved service delivery for service users. This co-working with Providers was central to producing this EIA and the new contract specifications.

Consultation was also carried out with the following internal staff; Head of Strategy & Partnerships, C&H Directorate; Housing Strategy Manager; Public Health Team; Looked After Children's Team; Head of Older People and Disabilities; Head of Revenues & Benefits. This valuable input from across the Council ensured a focus on the diverse needs reflected in the local population and these Merton-wide perspectives informed the drafting of the EIA and new contract specifications.

Evidence Base

- HRS Providers' consultation event 08/08/18. Domestic Violence & Abuse (DVA) Market Warming event 17/10/18.
- HRS Consultation circular sent to providers 22/10/18.
- Service category provider workshop feedback 08/08/18. DVA consultation group 17/10/18. Feedback from consultation circular 22/10/18.
- Individual meetings with provider organisations August to October 2018.
- Performance monitoring workbooks and bespoke customer profile reports submitted by providers.
- Merton data on DVA trends.
- Joint Needs Strategic Assessment - The Merton Story – health and wellbeing in Merton in 2018.
- Paying for supported housing: House of Commons Briefing Paper Number 6080 (W. Wilson, 2018).
- London Councils Refuges Roundtable Background Briefing: 17/07/18.
- Ministry for Housing Communities and Local Government (MHCLG) Roundtable: DVA Services Review and Future Funding 08/10/18.
- Funding Supported Housing: Policy Statement and Consultation (Department for Communities and Local Government, Department for Work and Pensions, 2017).
- Developing your local housing offer for health and care: Targeting outcomes (Housing LIN, CIH 2016).
- Supported housing: Understanding need and supply (NHF, 2015).
- Safeguarding Adults: A National Framework of Standards for good practice and outcomes in adult protection work (ADSS, 2005).

A key issue identified as part of the review was lack of information in respect of current and recent service user profiles to assist with evaluation of service impact, access and outcomes. Under the new contract arrangements data will be collected and reviewed by the Council.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	✓		✓		Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes. Final funding model may result in ending of funding for generic older persons services, which could be funded through residents' service charges and Intensive Housing Management (IHM), or where appropriate support services are available through other community support services. Providers of designated young people's services may have their funding reduced, where services being funded do not reflect the purpose for which HRS was provided. Reduction in funding could also result where the required support is able to be funded through Intensive Housing Management (IHM), or where appropriate support services are available through other community support services.
Disability	✓		✓		Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes. Final funding model may result in ending of funding for generic older persons who are more likely to have a disability.
Gender Reassignment	✓			✓	Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time.
Marriage and Civil Partnership				✓	Services are for single person households. Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time.
Pregnancy and Maternity	✓			✓	Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and

					should enable more frequent throughput enabling a wider range of people to access the service over time.
Race	✓			✓	Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time.
Religion/ belief	✓			✓	Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time.
Sex (Gender)	✓		✓		Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time. One of the services is a Women's Refuge and there is a potential impact in that under the procurement process an alternative provider could be contracted and funding could reduce.
Sexual orientation	✓			✓	Services to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time.
Socio-economic status	✓		✓		Overall recipients of HRS services are more likely to feature in lower socio-economic groups.

7. If you have identified a negative impact, how do you plan to mitigate it?

Introduce provider equalities monitoring data in new contract and monitoring framework. Annual review of provider equalities data and report on findings. HRS to fund qualifying OPS services where there is evidence of likely detriment or failure to sustain a tenancy. Ensure procurement of services does not result in reduced bedspaces for women that are victims of domestic violence and abuse

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
X	**Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
In order to enable the Council to effectively monitor the equalities impact of the HRS service Providers will submit equalities monitoring data.	New contracts to require providers to produce equalities monitoring data.	New contract specifications incorporate requirement for annual equalities monitoring report submission.	12/2018	Existing	Steve Langley	Yes
Provider submission of equalities monitoring data will enable the Council to assess equalities data relating to the impact of, usage or barriers to services for different groups.	Review of provider equalities data and report on findings. Assess service impact, usage and access to services.	Annual review of impact of service, usage and access for different groups.	03/2020	New – subject to agreed resource allocation for new HRS service.	Steve Langley	Yes

Final funding model may result in ending of funding for generic older persons services. This group is more likely to have a disability	In exceptional cases where there is evidence of likely significant detriment to an individual, or a likely demand on statutory services if HRS was not provided, HRS would be able to fund targeted visiting support on an ad hoc basis.	Assessment of HRS referrals on behalf this client group and records of referral outcomes**.**	03/20	Existing	Steve Langley	Yes
Final contract configuration could result in reduced funding for the Women's Refuge.	HRS proposal will ensure that there is no reduction in bedspace provision and will seek to increase this where possible, depending of the successful tender. The Council will continue to follow best practice and guidance eg London Councils' briefings and Ministry for Housing Communities and Local Government (MHCLG) Review guidance.	Service profile resulting from procurement of contracts will be assessed	03/20	Existing	Steve Langley	Yes
Overall recipients of HRS services are more likely to feature in lower socio-economic groups.	These services will be targeted at the service users that HRS was intended to support, with a focus on clearer objectives and outcomes that will benefit service users overall. This should enable a wider range of people to access the service.	Review of performance metrics and throughput**.**	03/20	Existing	Steve Langley	Yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome add Assessment
Overall Benefits of the Proposals The services are to be re-procured under a new performance management framework with a focus on delivering effective customer outcomes, and should enable more frequent throughput enabling a wider range of people to access the service over time. The HRS review has highlighted a number of areas where service quality, access and value for money can be improved. Although financial savings are anticipated as a product of the change process, this does not mean a reduction in delivery of services that HRS was intended to fund. The new service with an improved performance management framework will enable better services to be delivered to a wider section of the community. These services will be targeted at the service users that HRS was intended to support, with greater emphasis on making a difference and transforming lives. This will be achieved by defining clearer objectives and outcomes that providers will be required to deliver against. The new programme will ensure that services do not become 'silted up' by limited move-on which restricts access to those potential service users that need services but are unable to access them. The new framework will increase the potential for more services users to receive targeted support, at the time they need it, and for the appropriate duration. Where outcomes have not been achieved within a reasonable timeframe the review process will assist in identifying different interventions to be delivered, or alternative service provision. New service access and exit processes will ensure better throughput of service users with clearer focus on delivering tangible outcomes to enable them to sustain accommodation and maximise independence. This new approach combined with improved equalities reporting will enable the Council to assess how the service is meeting the needs across a wide range of groups in the community. Disability Potential impact identified is that the final funding model may result in ending of funding for generic older persons services. This group is more likely to have a disability. Providers charge either all or part of support costs to residents. Reduction in HRS funding may lead to providers increasing charges to residents. The support services that older persons generally receive are either services not intended to be funded by HRS or should be payable by residents through their services charges. Many providers deliver their own tenancy support, concierge services, and or contract

support services through the not for profit sector to support older persons. Voluntary support and preventative health services are also available for older persons. Intensive Housing Management (IHM) funding is available to providers and covers support services including some services that HRS funds. The availability of this funding will be communicated to providers. In exceptional cases where there is evidence of likely significant detriment to an individual, or a likely demand on statutory services if HRS was not provided, the Council would be able to fund targeted visiting support on an ad hoc basis.

Age

Potential impacts identified are that the final funding model may result in the reduction or ending of funding for generic older persons services, and that providers of designated young people's services may have their funding reduced.

The support services that older persons generally receive are either services not intended to be funded by HRS or should be payable by residents through their services charges. Many providers provide their own tenancy support, concierge services, and or contract support services through the not for profit sector to support older persons.

Intensive Housing Management (IHM) funding is available to providers and covers support services including some services that HRS funds. The availability of this funding will be communicated to providers. In exceptional cases where there is evidence of likely significant detriment to an individual, or a likely demand on statutory services if HRS was not provided, the Council would be able to fund targeted visiting support on an ad hoc basis.

Current support to young people's services includes floating support and a 6-unit service is designated for teenage parents. However the services delivered are similar services to the other services delivered by the provider and there is no expectation that the service will cease to be available.

Where there is evidence of a future increase in need for additional HRS support services the Council would consider enhanced targeted visiting support for service users. Evaluation of current usage and funding under the HRS service will enable appropriately priced contract Lots to be subject to the Tender process. The procurement process is not expected to impact on the availability of the units for this customer group

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	**Shawn Scott, Project Manager**	**Signature:**	**Date: 02/11/2018**
Improvement action plan signed off by Director/ Head of Service	**Steve Langley, Head of Housing**	**Signature:**	**Date: 02/11/2018**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	Proposed budget saving CH39 Extra Care Housing contracts
Which Department/ Division has the responsibility for this?	Community and Housing, Adult Social Care

Stage 1: Overview	
Name and job title of lead officer	John Morgan, Assistant Director of Adult Social Care
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The proposal was set out as part of the 2014 medium term savings plan for the department with a target of £450k. This was later reduced to a target of £100k following an assessment of the impact this would have on the services. Further work has been undertaken since then, including discussions with the two extra care housing providers and a refresh of the data regarding the social care eligibility of the residents of the two schemes and this found that higher proportion of residents have an eligible social care need that are met by this contract. As a result of that further work, it has been established that the original and revised targets are no longer attainable. The majority of the contract costs now relate to the delivery of eligible social care. The current contracts are also subject to re-procurement. The target has therefore been reduced to £57k. The changes will be targeted on contract efficiencies and non-statutory support hours; eligible social care needs will not be affected. Providers will seek alternative resources to provide this support. We will ensure that new specification requires providers to seek other support for residents. Impact will be reviewed as part of each service users annual review
2. How does this contribute to the council's corporate priorities?	
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The 66 residents of the two schemes (Pantiles House and Trellis House) of which 54 are Merton social care service users.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The two providers (subject to re-procurement)

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

The proposal is based on discussions with the two providers regarding what efficiencies could be achieved without affecting the care provided to eligible service users.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		✓	✓		The schemes are for those aged 55+
Disability		✓	✓		The schemes support older people with disabilities
Gender Reassignment		✓		✓	
Marriage and Civil Partnership		✓		✓	
Pregnancy and Maternity		✓		✓	
Race		✓	✓		Majority of the customers are whitehowever staff are trained to cater for all groups irrespective of their ethnic background. White (51); Black or Black British (8); Asian or Asian British (5) and Mixed (2)
Religion/ belief		✓	✓		The changes might support to attend religious events
Sex (Gender)		✓	✓		Facilities at both schemes are designed to cater for all customers irrespective of their gender. Staff are trained to support all genders however staff support of a specific gender is available if required as per the care plan or requested by a customer. Currently there are 43 females and 23 males.
Sexual orientation		✓		✓	
Socio-economic status		✓	✓		Those with lower incomes have less opportunity to seek their own support

7. If you have identified a negative impact, how do you plan to mitigate it?

The changes will be targeted on contract efficiencies and non-statutory support hours. Eligible social care will not be affected. Providers will seek alternative resources to provide this support. We will ensure that new specification requires providers to seek other support for residents. Impact will be reviewed as part of each service users annual review.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

☐ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Service Users will have reduced access to support that is outside of their statutory care needs	Working with providers to identify alternative sources of support	Contract monitoring	March 2020	Existing	John Morgan	yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
The proposal will be achieved through contract efficiencies and reductions in support hours that are beyond the council's statutory duty to meet eligible need. These support needs can be met in other ways, such as contact with the voluntary sector and by accessing mainstream services.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Richard Ellis, Interim Head of Strategy & Partnerships	**Signature: Interim Head of Commissioning**	**Date: 31 10 18**
Improvement action plan signed off by Director/ Head of Service	John Morgan, Assistant Director of Adult Social Care	**Signature: John Morgan**	**Date: 31/10/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH55 –Promoting Independence
Which Department/ Division has the responsibility for this?	Community and Housing, Adult Social Care

Stage 1: Overview	
Name and job title of lead officer	John Morgan, Assistant Director Adult Social Care
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The aim of this proposal has been to support people to remain independent and well. To support them to achieve their desired outcomes by enabling them to remain in their own homes, close to their friends, families, support networks and local communities. This proposal has been achieved by a number of initiatives that help people maintain their wellbeing, to access early help and to recover when they become unwell or temporarily lose independence. There has also been an ongoing focus on supporting people to achieve their desired outcome to stay in their own homes and to reduce dependency on residential care. The work includes: - Increased access to home care re-ablement for eligible residents on discharge from hospital, - Belter co-ordination around Reablement with our community health provider - improving assessment practice and support planning, - working with the voluntary sector to provide earlier interventions (eg Fresh Start project focussing on people with low level hoarding issues/ wellbeing grant programmes as part of the wider prevention agenda). - Re launching the Strategic Grants programme (in collaboration with the voluntary sector) There was a small drop in the number of people supported with care packages by Adult Social Care between March 2017 and March 2018 from 2,941 to 2,861. The movement between years for each care group was: Older People -4.1%, Learning Disability +4.1%, Physical Disability no change, Mental Health +6.7%. Long stay nursing placements fell by 6%, long stay residential placements fell by 5.2% and actual home care hours increased by 1% (all between March 17 and March 18). The 2018/19 data will be added when available. The actions for this saving were taken during 2018/19. There is therefore a full year effect benefit in 2019/20. The saving shown for 2019/20 is this full-year effect not additional actions.

2. How does this contribute to the council's corporate priorities?	The Adult Social Care Service plan and TOM contribute to the council's overall priorities and will ensure that the savings targets are achieved in line with the corporate Business Plan and the Medium Term Financial Strategy. It is also in line with the July principles, adopted in 2011 by councillors, which sets out guiding strategic priorities and principles, where the order of priority services should be to continue to provide everything which is statutory and maintain services, within limits, to the vulnerable and elderly, with the council being an enabler, working with partners to provide services.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Service users
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Partnership working with health, the voluntary sector and social care providers.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Consultation on this saving was undertaken in 2015. Overall a majority of respondents agreed with the councils approach to making the savings and also a focus on investing in prevention and recovery. However, the consultation also raised concerns about the savings being too much and the risk to potentially vulnerable people. There was less support for reviewing packages of care to seek savings, with 47% of respondents disagreeing of strongly disagreeing with this approach. Since then, the emphasis has changed from reviews to supporting people to recover independence and getting packages of care right at the beginning of care.

On an operational level the evidence considered has been to:

- looked at local information about trends, needs and best practice;
- reviewed national benchmarking information about our performance (Adult Social Care Outcomes Framework (ASCOF) and Personal Social Services Expenditure and Unit Costs) compared to other councils;
- considered the impact of pressures such as new legislation, demographic growth and Public Health Needs Assessments;

- reviewed and monitored contracted services to check if fit for purpose as well as negotiate rates to ensure value for money
- redesigned or remodelled the way we commission services to achieve better outcomes for customers in the most cost effective way;

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age		✓	✓		Needs might be met differently than the past or as expected
Disability		✓	✓		Needs might be met differently than the past or as expected
Gender Reassignment		✓		✓	N/A
Marriage and Civil Partnership		✓		✓	N/A
Pregnancy and Maternity		✓	✓		Needs might be met differently than the past or as expected
Race		✓	✓		Needs might be met differently than the past or as expected
Religion/ belief		✓		✓	N/A
Sex (Gender)		✓		✓	N/A
Sexual orientation		✓		✓	N/A
Socio-economic status		✓	✓		Needs might be met differently than the past or as expected

7. If you have identified a negative impact, how do you plan to mitigate it?

Decisions are made case by case and are reviewed by a team manager or a manager more senior than this. Statutory needs will be met in line with the Care Act 2014. Where support plans were funded by ILF, the shortfall in funding is made up from core budgets.

Support plans are reviewed annually.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
	Outcome 2 – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
x	**Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact
This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	Action required to mitigate	How will you know this is achieved? e.g. performance measure/ target)	By when	Existing or additional resources?	Lead Officer	Action added to divisional/ team plan?
Needs might be met differently than the past or as expected	Ensuring that assessments and support plans are effective and adequate through supervision and Outcome Forum scrutiny	Activity levels are monitored monthly and trends considered.	March 2020	existing	John Morgan	Yes
	Investment in the voluntary sector		March 2019	Existing		
Funding for former ILF clients is less than actual care costs and the funding is reducing each year	Since the closure of the ILF, the former clients needs have been met under the Care Act 2014. The shortfall in ILF funding is therefore made up from core placement budgets	Individual support plan reviews	Ongoing	Existing	John Morgan	n/a

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 3 Assessment
Service users, their families and carers may experience a different response to assessed need than they might expect. However, the support that is offered will be aimed at maximizing their independence. Support that does not meet statutory assessed needs may no longer be provided. They will be supported to understand any changes in their care. Older People are more likely to be affected as they are the majority of our service users. Assessment and Support Plans will be subject to senior manager scrutiny to ensure consistency and that statutory needs are met. The Placements budget is 80% of the services budget and therefore the reduction in resources will inevitably impact on the money available to meet care needs.

Stage 7: Sign off by Director/ Head of Service

Assessment completed by	Richard Ellis, interim Head of C&H Strategy & Partnerships	Signature:RE	Date: 31/10/18

Stage 7: Sign off by Director/ Head of Service			
Improvement action plan signed off by Director/ Head of Service	John Morgan, Assistant Director Adult Services	**Signature: JM**	**Date:31/10/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH 72 To review all aspects of Community Transport in Merton
Which Department/ Division has the responsibility for this?	Community and Housing

Stage 1: Overview	
Name and job title of lead officer	Andy Ottaway-Searle, Head of Direct Provision
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	We would like to review the quantity and type of Community Transport provided to people in Merton who receive services from Adult Social Care, and those who access activities as part of a preventative strategy. Our aim is to test that the amount spent on transport is actually required; as resources are constantly being tested we need to ensure that as much as possible of our budget is spent on actually providing care and support rather than ancillary services, of which the largest is transport. We are aware also that as services change over the next few years we will require a service which is flexible enough to support this. One aim of increasing community engagement for people with a disability is to increase the support provided to train people to travel independently. However we understand that there will always be a need for people to receive specialist transport in order to access certain activities necessary for their wellbeing, and for these people we need to ensure that their journeys are as short and comfortable as possible. We are determined also to reach a point where all partners and stakeholders agree on the most sustainable model going forward; there has been concern for some years as to how cost effective the current arrangements are. There is also a need to review how our voluntary sector partners receive support from Merton for activities such as Lunch Clubs, and to make this process transparent. Our view is that if after robust examination the model is not altered much, then that will achieve reassurance for those involved in supported transport in Merton.
2. How does this contribute to the council's corporate priorities?	The Adult Social Care Plan and Target Operating Model contribute to the Council's overall priorities and will ensure that the savings targets are achieved in line with the Corporate Business Plan and the Medium Term Financial Strategy. It is also in line with the July principles, adopted in 2011 by councillors, which sets out guiding strategic priorities and principles, where the order of priority services should be to continue to provide everything which is statutory and maintain services, within limits, to the vulnerable and elderly. It is part of a wider review of the Learning disability offer as set out in the refreshed TOM. Merton is also working towards implementing a suite of policies which will improve air quality in the borough.
3. Who will be affected by this proposal? For example who are the external/internal customers,	Service users and their families, potentially staff in Environment and Regeneration and Direct Provision, and colleagues in Voluntary sector organisations. We are mindful also of how operations in Children's Schools and Families would be impacted by major changes to arrangements in ASC, and will work closely with

communities, partners, stakeholders, the workforce etc.	them. All people who use services and their families will be included in the review of transport. If specific changes are proposed after the review we will consult specifically with those involved. It is not our intention to prevent individuals from attending agreed activities in the community, but we may end up using different methods to achieve this. For example people might have a lift from a volunteer to get to their lunch club rather than be collected by a Council minibus. We are aware that changes to people's daily lives can be upsetting and will ensure that people are supported through this.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	As stated, all Council departments will be involved, and the review will be led by E&R, who will commission independent experts to oversee the process. When the review is complete a Corporate decision will be taken with regards to implementing the proposals.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

Community transport is currently provided to people with disabilities and older people in Merton. Specialist transport is also provided to children and young people with disabilities and special educational needs, and those might be affected if significant changes were proposed for Adult Services. These groups and their families, as well as staff involved in providing transport would be most affected by changes. Consultation will take place throughout the review and as and when specific proposals are made, consultation will take place with those directly affected.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	**Tick which applies**		**Tick which applies**		**Reason** Briefly explain what positive or negative impact has been identified
	Positive impact		**Potential negative impact**		
	Yes	**No**	**Yes**	**No**	
Age	x		x		We are seeking to ensure that transport arrangements are the most suitable, cost effective and sustainable which should be of benefit to all
Disability	x		x		

					service users. However some individuals might have changed arrangements, and we know that discussing changes to daily arrangements causes concern and worry to people. They will be supported through this and through any subsequent changed arrangements. As above.
Gender Reassignment				x	
Marriage and Civil Partnership				x	
Pregnancy and Maternity				x	
Race				x	
Religion/ belief				x	
Sex (Gender)				x	
Sexual orientation				x	
Socio-economic status				x	

7. If you have identified a negative impact, how do you plan to mitigate it?

We will work closely with service users and their families to minimise any negative impact. Any changes proposed will be worked through with staff members who are familiar to the people concerned. We are not proposing changes which will leave people unable to attend their usual activities, but may well come up with alternative means to get there.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

☒ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Service users and families can be upset and anxious when changes to their daily arrangements are suggested.	Consultation to be carried out on specific proposals in plenty of time and by staff or agencies who are familiar to the people concerned. We are not proposing measures which will prevent people attending activities, but may suggest alternative means of travel.	By implementing any changes successfully with no changes to the individual's activities.	The review will begin in 2019 and proposals worked through from late 2019/20	External consultant/ group	Andy Ottaway-Searle	Yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
The proposal is for a review of the transport arrangements to seek a more financially and environmentally more sustainable solution. We do not

know at this stage what changes that review will propose. However, we recognise that change, whether actual or prospective, can create anxiety. Those who are most vulnerable and/or who might face change will need to be supported through the process once the options become clear. At that point, this assessment will need to be re-visited.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Andy Ottaway-Searle – Head of Direct Provision	**Signature: AOS**	**Date:** 5/11/18
Improvement action plan signed off by Director/ Head of Service	Andy Ottaway-Searle – Head of Direct Provision	**Signature: AOS**	**Date:** 5/11/18

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	Proposed budget saving CH88 - Home Care Monitoring System
Which Department/ Division has the responsibility for this?	Community and Housing, Adult Social Care

Stage 1: Overview	
Name and job title of lead officer	John Morgan, Assistant Director of Adult Social Care
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The aim of this proposal is to roll out a home care monitoring system for all home care providers to ensure that we can monitor the delivery of home care visits. This monitoring will enable us to check on a regular basis if the customers commissioned care hours are met in line with the agreed support plans as well as improve the reliability of the service. We also aim to ensure that we only pay for visits that are carried out and do not over pay for shortened or missed visits. Merton already uses a home care monitoring system called CM2000, and we have plans to re-commission a system. The current system is only currently used by some of our home care providers. We have and aim to re-procure this system in line with procurement regulations, some providers are now uitlsing this system and we aim to implement the system for the majority of home care providers when the new system is in place. The primary aim is to provide a monitoring tool for home care visits to ensure that residents receive their assessed care hours to ensure that their care package and agreed outcomes are met A secondary benefit, however, is that the council will save money by not paying for missed or significantly shortened visits. With the fragility of the home care market, it is important that we have the tools to provide assurance about the delivery of care to vulnerable residents.
2. How does this contribute to the council's corporate priorities?	It is consistent with the departmental TOM and the council's drive for efficiency
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The main impact is on home care providers that we contract with, who will have to use the system procured. Service users may see a small impact in that we may require access to their landline for the care worker to make call a Freephone telephone number, or to install a tag or sensor at their front door, depending on the system procured. The main impact for the residents is that they will receive the agreed support package and that their agreed outcomes will be met.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Contracted Home Care providers. Provider of the chosen monitoring system.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

The council has operated a home care monitoring system for over a decade and therefore has good knowledge of the benefits and impacts.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	✓			✓	The proposal should improve the reliability of home care visits and the settlement of complaints regarding missed or late calls. Whatever system is deployed will make allowance for those service users. without landlines, object to their use, or do not wish to cooperate with the solution chosen.
Disability	✓			✓	
Gender Reassignment	✓			✓	
Marriage and Civil Partnership		✓		✓	
Pregnancy and Maternity		✓		✓	
Race		✓		✓	
Religion/ belief		✓		✓	
Sex (Gender)		✓		✓	
Sexual orientation		✓		✓	
Socio-economic status		✓		✓	

7. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

Negative impact / gap in information identified in the Equality Analysis	There will be minimal impact of service users and their families. The main impact will be the use of their landline for Freephone calls or attached of a tag to their door/hallway etc depending on the technological solution procured.
Action required to mitigate	The implementation will respect the choices of individual service users who may not like the solution chosen
How will you know this is achieved? e.g. performance measure / target	
By when	March 2021
Existing or additional resources?	N/A
Lead Officer	Phil Howell, Head of Older People & Disabilities
Action added to divisional / team plan?	To include in 2019/20 and 2020/21 service plans.

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

OUTCOME 1	OUTCOME 2	OUTCOME 3	OUTCOME 4
✓			
The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.	The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.	The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully.	The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Sign off by Director/ Head of Service

Assessment completed by	Richard Ellis, Interim Head of Commissioning	**Signature: Interim Head of Commissioning**	**Date: 22 Oct. 18**
Improvement action plan signed off by Director/ Head of Service	John Morgan, Assistant Director of Adult Social Care	**Signature: John Morgan**	**Date: 22/10/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH89 Older People's Community Activities
Which Department/ Division has the responsibility for this?	Community & Housing

Stage 1: Overview	
Name and job title of lead officer	John Morgan
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	Less people are choosing to attend formal day centre provision and are instead opting to attend community and Voluntary sector activities. Merton has a vibrant voluntary and community sector and there are currently 20 Lunch-social clubs/cafes throughout the borough providing a service for older people from different minority ethnic backgrounds. Over 765 meals (which includes social activities) are provided per week in these schemes and they are hugely popular and well attended. There is also substantial capacity within these lunch-clubs to provide further places and there is capacity for 1100 meals per week. For Older people the borough also has 1 internal day provision and contracts extra capacity within woodlands day centre. As less people are choosing to attend these formal day centre we currently having increasingly vacancies within these provisions which are not been utilised. he proposal seeks to assess and analyse the demand and supply of activity aimed at supporting older people to access community activity. This will objectively look at the supply of building based and non-building based activity, its utilisation and the limitations on providing what people expect and need within the current model. It will provide the department with the rationale, future demand profile and capacity requirements future commissioning intentions of both external and in-house building based provision. It will also provide detail on which a 'Community Activity Market Position Statement can be produced. This aims to provide interested parties and organisation with the information on which they can build their business planning. It is envisaged that this will include a rationalisation and reduction of the current level of building based 'day centre' activity. This is based on current demand statistics and will include consideration of the effect of 2018/19 reductions in contracted day centre services; which is covered in a separate EIA for that specific proposal.
2. How does this contribute to the council's corporate priorities?	This proposal supports the corporate objectives of improving sustainability and improving effectiveness and also increased independence for residents. Based on the current demographic and demand trends it is clear that older people, in the majority, do not use building based day centres to engage in meaningful daytime activity. However, there is evidence of growing trends in use of alternative community, building based, spaces such as libraries. It is important to ensure the needs of vulnerable older people are met whilst ensuring that people are able to and encouraged to engage in activity in their local community. The local authority and more specifically Adult Social Care has a responsibility for market shaping under the

	Care Act 2014 and as such our commissioning and market development should reflect trends in demand for a range of provision.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Predominantly this proposal will broadly affect older people (people aged 65+) living in the borough. This population is set to grow over the next 10-15 years. The majority of older people will not be affected as they are unlikely to need or choose day care. The impact will be on those that might choose this option but as shown above Merton has a vibrant voluntary provision currently in place. This may impact or affect people in terms of the range and choice of available community activity at the point they wish to engage with these services. More specifically the proposal will affect, directly, the individuals who currently use building based day centre activities, either provided by the local authority or a third party supplier. Currently the number of users who attend: Eastways (internal day centre) is 30 spaces per day and there are 20 places been used on average per day Woodlands (external commissioned day centre) is 23 but we are funding 40 places and the excess is not utilised This proposal will also, to varying degrees, directly and indirectly impact on carers of individuals who access day services or access community facilities for part of their day, which in turn provide carers with a break from their caring role. (Does not look like this needs to be here??)
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The lead is Community & Housing, Adult Social Care. However, the proposal has shared responsibility for mitigation with the voluntary and community sector as well as culture, leisure and library services.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- Demand and utilization data for both internal and external building based day centre services
- Evidence, demand and utilization of alternative community provision use
- Data relating to 'self-funding' clients of current provision/activities; though this is acknowledged a significant gap in respect of detailed data
- Demographic information and trend analysis, specifically around older people, dementia, age related disability
- Range and availability of voluntary and community organisation led day activities in the borough

The evidence and data suggests that the proportion of older people who use formal day services in the borough is relatively small and expected to be a smaller proportion over time. Where individuals use formal services their use is repeat use within a week/month rather than one off attendance. Therefore, the assumption is that proposals will affect a small number of people but across a number of days in any given week. The evidence (predominantly anecdotal) suggests that formal day services have a role in sustaining the ability of carers to continue in their caring role. There is also some supplementary 'soft' evidence that use of day services delays, reduces or in some cases avoids the need for further formal service intervention, for example a package of domiciliary care or change of accommodation and support for individuals.

There is counter evidence that the demand trend for formal day services has reduced dramatically in recent years, of which some could be down to the inflexibility of service provision to accommodate people around the times of day, days of the week and weekends and that more people have exercised choice and control in finding alternatives to traditional 'formal' day services.

The evidence would suggest the impact is limited to age predominantly, there is no clear evidence that the impact is disproportionate to other protected characteristics though disability, gender and socio-economic status will be key considerations in the mitigation.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					The services focus is on adults over the age of 65. The negative impact is the potential change to current make up and provision of service in regard to dedicated building based day centre services. However, the positive impact (and negative impact mitigation) is that market shaping will be in keeping with expectation and build on demonstrable demand trends for alternative community based day activities and Merton already has in place a vibrant and well utilised voluntary secor provision which has capacity to increase its current numbers.
Disability					There will be some association with age related disiability, cognitive impairment and disability in general for a number of people directly affected. On this basis the same rationale as above is idenitfied
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)					We will review fully the current user profile and establish the proportions/split of and impact on male and female users of services. Equally consideration of the gender of carers will be taken into account wherever possible
Sexual orientation					
Socio-economic status					We will include consideration of the socio-economic status of individuals directly affected, for example the proportion of people who contribute to the cost of their care, those who self fund and those who are nil contribution. This is relevant to the means testing and financial assessment of contributions. It may also be possible to understand wider factors such as home ownership status.

7. If you have identified a negative impact, how do you plan to mitigate it?

Commissioning intentions and decisions will take into account views of individuals who currently use services, potential future users of day activity services and a wider range of stakeholders, including providers. The intention is to use this engagement alongside financial and utilisation data and trends to develop a range of community based provisions that continue to meet the core needs of people who currently use services.

In rationalising buildings based services it is not to say they will not exist. This proposal is more about ensuring we have sufficiency of supply of the right type of activities and providers of day activities generally in order to match that supply with anticipated future demand.

The mitigation will be to support providers to develop activity and events that cater for individual needs within local communities and that wherever possible older people in the borough are able to access and enjoy ordinary community facilities to support their independence, social support and benefit their health and wellbeing

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

■ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
People's day activities needs may be met differently	Liaise with direct provision and external providers to ascertain the numbers of self-funding clients services support	We will have obtained clear data on self-funders	Feb 2019	existing	PH	NO
	Research day activity options		Sept 2019			
	Consult on the options		Sept 2019			

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
• The proposal affects older people and their carers as current users or beneficiaries of day activity. • There is some identified potential negative impact, though the proposal also identifies potential positive impact on the same characteristics given this is aimed at providing day activities in ways which meet with expectations and the likely demand trends • Mitigation will include engagement with a range of stakeholders to help inform commissioning and market shaping. This engagement will support the intent that people should be able to engage in activities in their community that provide the leisure, learning or social opportunities and provide the opportunity for carers to continue to take short breaks from the caring role

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Phil Howell Interim Head of Adult Social Care – Operations & Commissioning	**Signature:**	**Date: 5th November 2018**
Improvement action plan signed off by Director/ Head of Service	Add name/ job title	**Signature:**	**Date:**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH90 Out of Area Placements
Which Department/ Division has the responsibility for this?	Community and Housing, Adult Social Care

Stage 1: Overview	
Name and job title of lead officer	John Morgan, Assistant Director of Adult Social Care
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The aim of this proposal is to reduce the council's dependency on Out of Area placements for adults with mental ill health and/or learning disabilities. An 'Out of Area' placement is defined as not being in or near Merton. Given the size of the borough, some placements in neighbouring boroughs is inevitable. This is around the complexity of some of the residents who we work with. However, at present we are not supporting enough people to remain close to their families, friends and familiar neighbourhoods because there are insufficient suitable housing options in the borough for those people that need support and care to live independently. At present only 13% of the mental health residential care bed capacity in Merton is utilised by Merton residents. The rest are used by other authorities. 4 out of the 6 registered MH homes had no London placements. 73% of residential MH placements are out of borough. This suggests that local provision does not meet local need. More work is require on supported living placements (we are awaiting the benchmarking data), but a similar dependency on out of borough placements is expected. Out of borough placements can be appropriate, eg where a specialist placement is needed, but the level suggest that we are not currently offering a local choice. This puts people at a distance from family and support networks. It also makes it more difficult to sustain professional social work support and to ensure that people are supported to regain independence. We are working with South London Mental Health Partnership. By working with this partnership across South London, we believe that there is an opportunity to improve outcomes for mental health service users at less cost. This will be achieved by better commissioning and market management, as well as ensuring that people are in the least restrictive setting that supports their needs. Less restrictive and more independent settings cost less on average, although not necessarily in every case. The aims of the South London programme are to ensure that support is the most appropriate, least restrictive, close to home and based on clear outcomes. This approach is in line with the principles of the Adult Social Care Target Operating Model (TOM).

	Approximately 64% of Learning Disability residential placements are out of borough, with approx. 40% being out of London. Meanwhile, other local authorities make as many LD residential placements in Merton as we do. Approximately 40% of mental health placements are out of London, with approx. 23% in other London boroughs. Data in relation to supported living is subject to a current London wide exercise. We will consult on options with service users, their families, care and housing providers to create new capacity and options in or near the borough; we want a local offer to be our first offer. We will also consult on options to offer repatriation back to their Merton for those currently placed out of area where that is appropriate and desirable. In the case of adult mental health, we will work with service users and their families to review whether their needs can be met in a less restrictive, less institutionalised and more independent setting, providing further support where needed to help towards this objective. Changes to the care and support of any individual will be subject to full discussion and consultation with them and, as appropriate, their family. The timing of any changes will be by agreement and following any necessary support to develop independent living skills. The proposal is also about increasing the local offer for those transitioning into adulthood, with local housing with support and access to adult education, employment and volunteering opportunities. Discussions have taken place with learning disability and housing providers along with the voluntary sector. There is an opportunity in relation to people with learning disabilities to work with the sub-regional Transforming Care Partnership and the CCG to try to align health and care commissioning and market development activities.
2. How does this contribute to the council's corporate priorities?	The approach of the South London Mental Health Complex Care programme is aligned with the councils' aim to live well and age well, maximising their independence and wellbeing. The Adult Social Care Service plan and TOM contribute to the council's overall priorities and will ensure that the savings targets are achieved in line with the corporate Business Plan and the Medium Term Financial Strategy. It is also in line with the July principles, adopted in 2011 by councillors, which sets out guiding strategic priorities and principles, where the order of priority services should be to continue to provide everything which is statutory and maintain services, within limits, to the vulnerable and elderly, with the council being an enabler, working with partners to provide services.
3. Who will be affected by this proposal? For example who are	Mental Health and Learning Disability staff, service users and their families, providers and the voluntary sector.

the external/internal customers, communities, partners, stakeholders, the workforce etc.	
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	South London & St George's Mental Health Trust. South London Mental Health Partnership and its Complex Care Programme. South West London Transforming Care partnership Wandsworth & Merton Clinical Commissioning Group

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- The proposal has been based on benchmarking of London wide commissioning of residential care beds carried out of behalf of London ADASS by Care Analytics Ltd.
- It is also based on a review of health investment in mental health, a local review of integrated arrangements with SWLStG MHT and the early findings of the South London MH Partnership Complex Care Programme.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	✓		✓		The proposals are aimed at improving outcomes for individual users of, particularly those in residential and supported living placements. The work will aim to offer care nearer to their home borough of Merton and to improve their levels of independence and reduce restrictions on their daily lives. However, it also has to be recognised that some may find change difficult and that in some cases it might require a change in where they live.
Disability	✓		✓		
Gender Reassignment	✓		✓		
Marriage and Civil Partnership	✓		✓		
Pregnancy and Maternity	✓		✓		
Race	✓		✓		
Religion/ belief	✓		✓		
Sex (Gender)	✓		✓		
Sexual orientation	✓		✓		
Socio-economic status	✓		✓		

7. If you have identified a negative impact, how do you plan to mitigate it?

Service users may receive a different response to their needs, and may be asked to change their location to a different setting that is closer to home, is less restrictive and is able to support them to be more independent. Some may have been in their current location for a number of years and may find the change difficult. The project will work at the level of each individual, assessing their capacity for greater independence. Key workers will support them to be part of decision making about their care and support, and they will be supported to make changes over time. In the most complex cases, change may take place over years, with several key steps along the way.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

☐ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact
This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	Action required to mitigate	How will you know this is achieved? e.g. performance measure/ target)	By when	Existing or additional resources?	Lead Officer	Action added to divisional/ team plan?
Service users needs may be met differently and/or in a different location	Individuals will be supported to understand and to be involved in any change. Change will happen at the pace of each individual	Project monitoring at case level. Reviews at case level	March 2021	TBC	Richard Ellis	yes
	Options will be developed and consulted on as appropriate					

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
The project will result in changes for service users, potentially including moving accommodation in the case of some mental health service users. However, this can be managed as a positive experience that increases their independence and quality of life. The aim is to increase the local offer and thereby opportunities to live independently and remain close to family and friends.

Stage 7: Sign off by Director/ Head of Service

Assessment completed by	Richard Ellis, interim Head of Strategy & Partnerships	**Signature: RE**	**Date:31/10/18**
Improvement action plan signed off by Director/ Head of Service	Richard Ellis, interim Head of Strategy & Partnerships	**Signature: RE**	**Date:31/10/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH91 Residential/Supported Living Review
Which Department/ Division has the responsibility for this?	Community and Housing

Stage 1: Overview	
Name and job title of lead officer	Andy Ottaway-Searle
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	We are reviewing the in-house Residential and Supported Living accommodation currently used by Merton for people with a Learning Disability. Our aim is to ensure that we fully understand the needs and wishes of the people we support, and can provide and commission the most appropriate accommodation locally, working with a range of partners. There is a wider review of Learning Disability services which will overlap with this piece of work. One of the main aims of that work is to ensure that there is a wider range of living opportunities in and close to Merton, as currently the majority of such placements are out of borough. These placements can be expensive and are more difficult to monitor in terms of quality and outcomes. We are also aware that some of the residential provision, both in-house and commissioned, is based in properties which need investment and updating. There are people living in residential homes who could be living more independently with the right support. Residential homes will always be required, particularly for people with high support needs, and we will need to make sure that they are in good condition with scope for the necessary aids and adaptations. Increasingly though Merton, along with other local authorities, has developed Supported Living as the preferred option for disabled people. This model provides more independence and community integration for the individual, as well as giving them the security of a tenancy. There are usually cost advantages for the Council, as tenants claim Housing Benefits to pay their rent while Social Services pay for the support staff. We have also found that Housing Associations are more willing to develop this type of property than residential homes. The opportunity to move to a flat of one's own can be a great incentive especially for younger people who wish to live as independently as possible. Experience shows that these tenants increasingly develop their own networks of activities within the local community with support and guidance from staff and get to rely less on organised day care. We also need to provide for a group of older people who are currently living with elderly carers and will need support, often at very short notice when that person is no longer able to provide care.
2. How does this contribute to the council's corporate priorities?	The Adult Social Care Service Plan and Target Operating Model contribute to the Council's overall priorities and will ensure that the savings targets required are achieved in line with the Corporate Business Plan and

	the Medium Term Financial Strategy. It is also in line with the July principles, adopted in 2011 by councillors, which sets out guiding strategic priorities and principles, where the order of priority services should be to continue to provide everything which is statutory and maintain services, within limits, to the vulnerable and elderly. It is part of a wider review of the Learning Disability offer as set out in the refreshed TOM, and fit's the Council's aim of working to provide a good local housing offer.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	Service users and their families may be affected if we agree with partners that a specific home needs updating, or changes purpose from residential care to supported living. If that arises we will assess the impact and consult specifically with all those involved. No-one will be left without accommodation and we would expect all moves to be positive. However we recognise that changes to people's living arrangements are extremely sensitive and needed to be handled carefully. This will be an initial scoping of the subject, with more detailed work to follow. Residential and Supported Living providers will be involved in our review and subsequent planning and may change some properties and/or schemes following this. Staff at in-house residential and Supported Living sites might be affected if the review leads to a change of use on those sites. All staff will be consulted with and supported through any changes.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	We will work with colleagues in Housing Needs and also in Environment and Regeneration as we look at developing new sites and possibly changing the use of existing sites.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

We have gained evidence and experience from providing and commissioning a range of both residential and supported living services in Merton and beyond. We will be working with local and national housing providers, as well as partners in the voluntary sector who all specialise in supporting people with disabilities. A major part of the review will be consulting with people with disabilities and their families, both through existing fora such as the Learning Disability Partnership Board, carers groups and self advocacy groups.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	x			x	As a result of the review we hope to seek a wider range of accommodation offers for older people in the L D group.
Disability	x			x	As above we are seeking a wider range of more independent units closer to Merton, whilst improving residential services for those who need this provision.
Gender Reassignment				x	
Marriage and Civil Partnership				x	
Pregnancy and Maternity				x	
Race				x	
Religion/ belief				x	
Sex (Gender)				x	
Sexual orientation				x	
Socio-economic status				x	

7. If you have identified a negative impact, how do you plan to mitigate it?

There will potentially be concern from some people and their families if plans are made to make changes to where they live. We will make any such changes carefully and will fully involve people in the planning.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
x	**Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact
This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	Action required to mitigate	How will you know this is achieved? e.g. performance measure/ target)	By when	Existing or additional resources?	Lead Officer	Action added to divisional/ team plan?
Concern from individuals and carers to possible changes to their living arrangements.	Careful and well planned consultation, high quality alternative accommodation offers, ongoing support.	Successful moves to new properties or upgraded/improved living situation.	This work will begin in 2018/19 and go through to 2023	There might be some external specialist support brought in at points in the review.	Andy Ottaway-Searle	Yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
The aim of the proposal is to promote better outcomes through promoting and developing independent living models. A better local offer would give people more options We recognise, however, that people find change difficult. Therefore, there will be a focus on supporting people through transition into adulthood into local independent living.

Stage 7: Sign off by Director/ Head of Service

Assessment completed by	Andy Ottaway-Searle, Head of Direct Provision	**Signature: AOS**	**Date: 1 October 2018**
Improvement action plan signed off by Director/ Head of Service	Andy Ottaway-Searle, Head of Direct Provision	**Signature: AOS**	**Date: 1 October 2018**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	Mobile Working (CH92)
Which Department/ Division has the responsibility for this?	Community & Housing

Stage 1: Overview	
Name and job title of lead officer	Phil Howell – interim Head of Adult Social Care
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The aim of the proposal is to increase efficiency and productivity within the directorate through enabling more mobile, remote and flexible working across our workforce. The objective is to build a capable network of workplaces that extend beyond the traditional office base of the Civic centre and make best use of technology, infrastructure, estates and working practices to promote and enable staff to carry out their work duties in the borough. The proposal may lead to more efficient use of estates, offices and desk space; a reduction in duplication of IT resources, productivity gains in respect of time spent on processes and the removal of 'waste' processes within working practices. Productivity gains will relate to increased efficiency of the workforce meaning either less staff resource is required to do the same level of work or the same levels of staff can increase the volume of work completed. This may lead to customers experiencing quicker, smarter and more efficiency services.
2. How does this contribute to the council's corporate priorities?	Improving efficiency and empowering the workforce. Improving the customer experience by reducing processing and waiting times and improving the timeliness of interventions.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	The workforce of Community & Housing directly. Indirectly the proposal will affect partners, internal and external customers and other stakeholders as they will benefit from the improved efficiency of the directorate.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The lead is C&H though the development and enhancement of mobile and flexible working will rely on partnership and engagement with corporate resources in particular, ICT, Infrastructure and HR

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

HR Equality and Diversity data for C&H workforce is set out below:

		% ethnic minorities	% female	% disabled	16-24	25-39	40-49	50-64	65+
COMMUNITY & HOUSING	Adult Social Care	48.7%	86.7%	5.2%	1.8%	15.8%	27.3%	52.1%	3.0%
	Housing Services	45.5%	66.7%	4.4%	0.0%	8.3%	20.8%	70.8%	0.0%
	Libraries, Heritage and Adult Education Service	27.9%	72.7%	2.4%	6.8%	45.5%	20.5%	27.3%	0.0%
	Provider Services	45.7%	73.8%	4.8%	0.8%	17.5%	31.8%	46.0%	3.9%
	Public Health Team	36.4%	73.3%	0.0%	13.3%	26.7%	33.3%	26.7%	0.0%
COMMUNITY & HOUSING Total		**44.1%**	**79.1%**	**4.4%**	**2.4%**	**19.6%**	**28.6%**	**46.8%**	**2.7%**

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age					The general profile of the workforce is of older working age adults. The impact of mobile and flexible working can benefit quality of life and work life balance for workers of all ages. There is evidence to suggest, from implementation elsewhere, that it also contributes to more work and job

	■				satisfaction and empowerment across age groups.
Disability			■		Any mobile working policy and set up must consider the reasonable adjustments and workplace adaptations required to accommodate members of the workforce who have disabilities, physical or sensory impairments to ensure they are able to carry out their work the same as anyone else. The use of a wider range of ways of working will need to mitigate risk of disadvantage through such adaptations and adjustments
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					
Sex (Gender)	■				The gender breakdown across the directorate as whole shows that 79.1% of the workforce is female. This suggests a ratio of 3:1. However, it is suggested there is no negative impact to consider against this characteristic
Sexual orientation					
Socio-economic status	■		■		The use of more mobile and flexible shows evidence that time spent travelling on public transport or in owner vehicles can significantly reduce and provides benefits in terms of the cost of coming to work. However, the workforce at the lower end of the directorate pay spectrum carry out roles which are often time dependent and in fixed locations and are 'customer facing', for example day centre staff. Staff in these roles may benefit less from a mobile nd flexible working approach

7. If you have identified a negative impact, how do you plan to mitigate it?

Put in place clear policies and guidelines. Audit all teams to understand capabilities and limitations on mobile working. Organise the infrastructure and ICT requirements against each team, and individually tailored solutions where required.

Put in place management approaches, policies and guidelines for managing through change and managing remote working.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)

Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

■ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Audit the directorate to understand the opportunities and limitation on mobile and flexible working	Understanding which staff, functions and teams will least benefit from mobile working and ensure protected characteristics within this specific staff group are not overly disadvantaged	Clear understanding of which teams and staff will move to mobile working	Feb 2020	existing	PH	NO
Reasonable adjustment for workers with disabilities	Ensure the reasonable adjustments and adaptations required are in place across the mobile working arrangements, with specific regard to OH adaptations and policies in respect of lone and remote working	Clear policy and guidelines in place that include management guidance for supporting individuals with disabilities to adopt mobile working practices	Feb 2020	Existing and potential additional (through HR/ ICT/OH)	PH	YES

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
• The proposal is aimed at having a significant positive impact on the workforce and those we interact with through our work • The proposal aims to benefit the corporate agenda of improved efficiency, productivity and customer experience • There is potential for some impact on protected characteristics though it is felt there is sufficient mitigation and actions taken to limit disproportionate affect. • We recognize that not all solutions suit all staff.

Stage 7: Sign off by Director/ Head of Service

Assessment completed by	Phil Howell Interim Head of Adult Social Care – Operations & Commissioning	**Signature:**	**Date: 8th November 2018**
Improvement action plan signed off by Director/ Head of Service	John Morgan Asst Director ASC	**Signature: JM**	**Date:15/11/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH93 - Learning Disability Offer
Which Department/ Division has the responsibility for this?	Community & Housing

Stage 1: Overview	
Name and job title of lead officer	John Morgan- Assistant Director- Adult Social Care.
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The proposal is to review of our offer to adults with Learning Disabilities (LD) to ensure that we can meet the needs of those transitioning into adulthood and those of current service users and their carers within the resources we expect to be available. This review will be conducted in consultation with service users, their families and carers. This review will look at the whole pathway of learning disability services from: • Transition from Children's services to adult social care including working with Children and parents earlier to prepare for transition • Using a Strengths Based Approach to social work where we see the person for what they are and what skills they have and work with them to achieve a more fulfilling life • New residents moving into Merton with an LD • The support offered by the Community Learning Disability Team • The range of care and support service for people to meet assessed needs (the LD offer), including activities, education and employment • Housing options ,including tenancies and shared lives • Building on the existing relationships with the voluntary sector, around pathways and networks • Access to health and wellbeing services aimed at prevention and early intervention It will aim to support more residents of Merton with a learning disability to remain living independent lives within Merton close to friends, families and local support networks. We aim to ensure that when individuals do require support, this is available in the local community, reducing the need for out of borough placements. Savings will be achieved by reduced dependency on residential placements and out of area placements in residential and supported living care. Out of area placements tend to be more expensive and are more difficult to monitor. We do not plan to disturb established care and support packages if they are working successfully, but will continue to review on a person centred basis.

	The overall aim of the review is to understand current and future needs relating to learning disability and plan the resources, pathways and services that meet those needs and the expectations of younger people transitioning into adulthood and the expectations of an ageing learning disability population.
2. How does this contribute to the council's corporate priorities?	This proposal supports the corporate objectives of improving sustainability and improving effectiveness. The local authority and more specifically Adult Social Care has a responsibility for market shaping under the Care Act 2014 and as such, our commissioning and market development should reflect trends in demand for a range of provision. This proposal is a long-term review of the learning disability offer in its entirety within Merton with the aim of ensuring people with a learning disability, living in the borough, can be independent and active participants in their community and have access to the same opportunities as others.
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	This proposal will affect people with learning disabilities and their carers. Merton provides a package of care to 501 people with a learning disability. On 2016/17 there were 691 Merton residents 18+ who were registered with a Learning Disability with their GP. Not all have current interaction with Adult Social Care. Therefore it is assumed the review will directly and indirectly affect the learning disability as a whole, not just those who currently interact with formal statutory services. This proposal will also, to varying degrees, directly and indirectly impact on carers of individuals with learning disabilities, many of whom would qualify as protected under the characteristic of age. There are significant numbers of the current population of adults with a learning disability who are in their 40's and 50's and supported by elderly relatives and family carers. Equally the carers of younger adults and current children/young people who will transition to adulthood. The proposal will need the engagement and involvement of a range of stakeholders, these include; • individuals with a learning disability • Family and carers • elected members • Service providers • Statutory services and partners • Local area committees • Housing, leisure, cultural services. • Merton CCG and SWLStG MH
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	The lead is Community & Housing, Adult Social Care. However, the proposal has shared responsibility for mitigation with local health partners, the voluntary and community sector, service providers as well as culture, leisure and library services.

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

- Joint Strategic Needs Assessment, census and disability population profile sources of data.
- Current Mosaic Client Record Management information
- Continuing Healthcare and GP registration of LD population
- Current utilisation of the full range of services provided to people with a learning disability, including those who access Direct Payments, Personal Health Budgets.
- Merton local authority in-house provision, detailed knowledge of service user profiles.
- Transition and Preparation for Adulthood data sets
- Children & Young People with an Education Health and Care Plan.

There is cross reference to published information, for example, in the local Autism Strategy.

At this stage in the assessment, this is a non-exhaustive list of evidence and data that will be used to inform detailed proposals as they are developed as part of the review. Initially however this is to ensure the impact and affected individuals are considered base on available data sources that give further information about the characteristics of the affected group(s)

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	[redacted]		[redacted]		Recognising that two of the key groups affected is the older adults learning disability population and young adults who will be or have recently made the transition to adult services. The positive impact is the outcome of the review is to design a system of support and service provision that meets the expectations and needs of people with Learning Disabilities. The potential negative impact is any change to current services or provision of support that requires change. This also encompasses any de-commissioning decisions that may result from the review.
Disability	[redacted]		[redacted]		This is the predominant protected characteristic that is affected by this review. Positive impacts include improvements and increased live chances and access to a broader range of health and wellbeing opportunities as well as formal service provision that is fit for purpose for a future generation of people with a Learning Disability and services that are better able to support carers. It is intended that the proposal supports improved health outcomes and reduced health inequalities for people with Learning Disabilities. It is also intended to positively impact on people who are currently placed out of borough, owing to a gap in supply of the appropriate services, enabling them to live in Merton Negative impact may potentially be as a result of changes to the current model of services and provision which as a result mean an individual's care and support changes or is re-provided
Gender Reassignment					
Marriage and Civil Partnership					
Pregnancy and Maternity					
Race					
Religion/ belief					

Sex (Gender)					We will review fully the current user profile and establish the proportions/split of and impact on male and female users of services. Equally consideration of the gender of carers will be taken into account wherever possible
Sexual orientation					
Socio-economic status					We will include consideration of the socio-economic status of individuals directly affected, for example the proportion of people who contribute to the cost of their care, those who self fund and those who are nil contribution. This is relevant to the means testing and financial assessment of contributions. It is well documented that individuals with a learning disability have difficulty in securing paid employment opportunities and this can impact on socio-economic wellbeing. It is therefore envisaged that the proposal will focus on individual who have low income, rely on state benefits and experience barriers to employment.

7. If you have identified a negative impact, how do you plan to mitigate it?

Commissioning intentions and decisions will take into account views of individuals who currently use services, potential future users of services and a wider range of stakeholders, including providers. The intention is to use this engagement alongside financial and utilisation data and trends to develop a range of community based provisions that continue to meet the core needs of people who currently use services. The overarching aim of this proposal is to develop an improved offer for people with Learning Disabilities living in Merton.

This proposal is more about ensuring we have sufficiency of supply of the right type of housing, accommodation and service provision to support people in the borough and not rely on out of out of borough placements, even where the needs are complex and challenging to services.

The mitigation will also be sought in full engagement and consultation with stakeholders to coproduce within the learning disability community. This coproduction will be taken forward into service design and commissioning plans. The Council will continue to meet its statutory responsibilities, in full, for people with a learning disability, their families and carers.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

☐ **Outcome 1** – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.

■ **Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.

☐ **Outcome 3** – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.

☐ **Outcome 4** – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact
This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Future demand and demographic profiling	Work with CSF to develop the dataset for transition planning and preparation for adulthood, including financial profiling	This will be the dataset referred to and owned by the preparation for adulthood board	April 2019	existing	JM/JMcS	NO
Develop housing and support options	Develop a housing strategy that incorporates the needs of people with a learning disability	Housing Strategy agreed	March 2020	Additional	SL	Yes
Develop an educational and employment offer	Review the national evidence of best practice as a first step to developing the local offer		March 2019	Existing	AH	Yes
Clear data and up to date review/re-assessment of all out of borough placements	Reviews to be undertaken to ensure the current needs are reflected in in-borough commissioning intentions	Measured reductions in out of borough placement	April 2020	existing	JM	YES

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
• The proposal affects people living with a learning disability and their carers as current users or beneficiaries of services in the borough. • There is some identified potential negative impact, though the proposal also identifies potential positive impact on the same characteristics given this is aimed at improving the offer of services, support and opportunities to people with a learning disability. There is potential for some service provision to be considered for de-commissioning, change of purpose. Equally, there is impact on the wider market shaping proposals and this will be articulated in a, Learning Disability specific, Market Position Statement • Mitigation will include engagement with a range of stakeholders to help inform commissioning and market shaping. This engagement will support the intent that people should be able to live in their community and access the leisure, learning or social opportunities as well as statutory services and support as they require.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Phil Howell Interim Head of Adult Social Care – Operations & Commissioning	**Signature:**	**Date: 5th November 2018**
Improvement action plan signed off by Director/ Head of Service	John Morgan, Asst Director	Signature:JM	**Date: 15/11/18**

APPENDIX 9 (a)

Equality Analysis

What are the proposals being assessed?	CH 94 Integration
Which Department/ Division has the responsibility for this?	Community & Housing – Adult Social Care

Stage 1: Overview	
Name and job title of lead officer	John Morgan, Assistant Director ASC
1. What are the aims, objectives and desired outcomes of your proposal? (Also explain proposals e.g. reduction/removal of service, deletion of posts, changing criteria etc)	The proposal forms part of our commitment to integrating health and social care in relation to physical and mental health to support people to Live Well and to Age Well. The aim is to achieve efficiencies in management, administration and commissioning. This will be through developing an integrated pathway that includes a single point of access and triage, to make best use of responsive services. We will develop a joint approach to re-ablement and recovery. It may also be by jointly commissioning similar and allied services to achieve better outcomes with a focus on recovery and maximising independence. It may also incorporate pooling budgets to focus resources where they will have the greatest impact on health and wellbeing. The proposals may involve combining roles across health and social care that are currently separate, which may mean a reduction in posts. The aim is to deliver better outcomes for the adult population with less resources across health and social care, housing and public health. It will follow from the Adult Social Care Green Paper and the NHS Ten Year Forward plan that are due to be published in the new year.
2. How does this contribute to the council's corporate priorities?	Living Well and Ageing Well
3. Who will be affected by this proposal? For example who are the external/internal customers, communities, partners, stakeholders, the workforce etc.	People with health and care needs may get a different and more cohesive response, which should provide a more positive experience. There may be reductions in posts and changes in employer, role and locations for staff. The proposal is about working with health partners, but may also impact on commissioned providers and the voluntary sector.
4. Is the responsibility shared with another department, authority or organisation? If so, who are the partners and who has overall responsibility?	Shared with Merton CCG and local health providers

Stage 2: Collecting evidence/ data

5. What evidence have you considered as part of this assessment?
Provide details of the information you have reviewed to determine the impact your proposal would have on the protected characteristics (equality groups).

The proposal is part of the ongoing integration of health and social care. That programme is based on a wide range of data and research, including national research on best practice and heath outcomes, and local data such as the Joint Strategic Needs Assessment.

Stage 3: Assessing impact and analysis

6. From the evidence you have considered, what areas of concern have you identified regarding the potential negative and positive impact on one or more protected characteristics (equality groups)?

Protected characteristic (equality group)	Tick which applies Positive impact		Tick which applies Potential negative impact		Reason Briefly explain what positive or negative impact has been identified
	Yes	No	Yes	No	
Age	X			X	The proposal should have a positive impact on those who use health and care services, which will include all people with these protected characteristics. There may be a negative impact on staff with the reduction of and changes in posts. Women are a high proportion of the health and social care workforce.
Disability	X			X	
Gender Reassignment	X			X	
Marriage and Civil Partnership	X			X	
Pregnancy and Maternity	X			X	
Race	X			X	
Religion/ belief	X			X	
Sex (Gender)	X		X		
Sexual orientation	X			X	
Socio-economic status	X			X	

7. If you have identified a negative impact, how do you plan to mitigate it?

Changes or reductions in posts will be subject to staff consultation at group and individual level. Where posts are removed, redeployment will be an option for staff affected.

Stage 4: Conclusion of the Equality Analysis

8. Which of the following statements best describe the outcome of the EA (Tick one box only)
Please refer to the guidance for carrying out Equality Impact Assessments is available on the intranet for further information about these outcomes and what they mean for your proposal

	Outcome 1 – The EA has not identified any potential for discrimination or negative impact and all opportunities to promote equality are being addressed. No changes are required.
x	**Outcome 2** – The EA has identified adjustments to remove negative impact or to better promote equality. Actions you propose to take to do this should be included in the Action Plan.
	Outcome 3 – The EA has identified some potential for negative impact or some missed opportunities to promote equality and it may not be possible to mitigate this fully. If you propose to continue with proposals you must include the justification for this in Section 10 below, and include actions you propose to take to remove negative impact or to better promote equality in the Action Plan. You must ensure that your proposed action is in line with the PSED to have 'due regard' and you are advised to seek Legal Advice.
	Outcome 4 – The EA shows actual or potential unlawful discrimination. Stop and rethink your proposals.

Stage 5: Improvement Action Pan

9. Equality Analysis Improvement Action Plan template – Making adjustments for negative impact

This action plan should be completed after the analysis and should outline action(s) to be taken to mitigate the potential negative impact identified (expanding on information provided in Section 7 above).

Negative impact/ gap in information identified in the Equality Analysis	**Action required to mitigate**	**How will you know this is achieved?** e.g. performance measure/ target)	**By when**	**Existing or additional resources?**	**Lead Officer**	**Action added to divisional/ team plan?**
Loss of employment where management posts are shared	Consultation and offer of redeployment	Project monitoring	March 2022	Existing	TBC	yes

Note that the full impact of the decision may only be known after the proposals have been implemented; therefore it is important the effective monitoring is in place to assess the impact.

Stage 6: Reporting outcomes

10. Summary of the equality analysis

This section can also be used in your decision making reports (CMT/Cabinet/etc) but you must also attach the assessment to the report, or provide a hyperlink

This Equality Analysis has resulted in an Outcome 2 Assessment
The proposal is aimed at producing positive impacts by creating a more seamless and efficient offer across health and care. Staff may be affected by changes in roles and loss of employment.

Stage 7: Sign off by Director/ Head of Service			
Assessment completed by	Richard Ellis, Head of Strategy & Partnerships	**Signature: RE**	**Date: 29/11/18**
Improvement action plan signed off by Director/ Head of Service	John Morgan, Asst Director ASC	**Signature: JM**	**Date: 291118**